

## Output feedback control of nonlinear systems with uncertain ISS/iISS supply rates and noises\*

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**Abstract.** This paper considers the problem of global output feedback control for a class of nonlinear systems with inverse dynamics. The main contribution of paper is that: For the inverse dynamics with uncertain ISS/iISS supply rates, and the systems being disturbed by  $L^2$  noises, we construct a reduced-order observer-based output feedback controller, which drives the output of system to zero and maintain other closed-loop signals bounded. Finally, a simulation example shows the effectiveness of the control scheme.

**Keywords:** nonlinear systems, ISS/iISS, uncertain supply rates, reduced-order observer,  $L^2$  noises.

### 1 Introduction

Since the notion of input-to-state stability (ISS) was first introduced in [1], it has been recognized as a central concept in nonlinear control systems. [2–5] and the references therein investigated many kinds of properties of ISS. [6–9] and the references therein considered controller design and stability analysis for various classes of nonlinear systems with ISS (or ISpS) inverse dynamics. Subsequently, another important concept, integral input-to-state stability (iISS), was firstly presented in [10], and several characterizations on iISS were investigated in [11], in which iISS is proved to be strictly weaker than ISS. In [12], the authors analyzed nonlinear cascades in which the driven subsystem is iISS, and characterized the admissible iISS-gains for stability. Recently, [13–16] gave several Lyapunov-based small-gain theorems covering iISS systems.

So far, in addition to the above literatures, there are many other results on the design and analysis of controller for nonlinear systems with ISS/iISS inverse dynamics. For

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example, Arcak et al. in [12] applied the admissible iISS-gains for stability of cascade systems to develop a new observer-based backstepping design. Jiang et al. in [17] firstly presented a unifying framework for the robust global regulation via output feedback for nonlinear systems with iISS inverse dynamics. Recently, [18] further studied output feedback regulation for a class of nonlinear systems with iISS inverse dynamics, in which the observer gain is governed by a Riccati differential equation, and Xu and Huang in [19] considered the output regulation problem for output feedback systems with relative degree one and iISS inverse dynamics. In [20], the authors considered reduced-order observer-based output feedback regulation for a class of nonlinear systems with iISS inverse dynamics. Recently, Yu et al. in [21, 22] extended the notion and some properties of iISS to stochastic nonlinear systems.

However, almost of the above papers only consider the ISS/iISS inverse dynamics with known ISS/iISS supply rates. When the inverse dynamics with uncertain ISS/iISS supply rates, how to design a feedback controller for nonlinear systems seems to be an interesting work.

The main contribution of paper is that: For the inverse dynamics with uncertain ISS/iISS supply rates, and the systems being disturbed by  $L^2$  noises, we construct a reduced-order observer-based output feedback controller, which drives the output of system to zero and maintain other closed-loop signals bounded.

The remainder of paper is organized as follows. Section 2 is problem statements. Section 3 gives the design of output feedback controller. Section 4 is the main results. A simulation example is given in Section 5. Section 6 concludes the paper.

## Notations

$R_+$  stands for the set of all nonnegative real numbers,  $R^n$  is the  $n$ -dimensional Euclidean space,  $|x|$  is the usual Euclidean norm of a vector  $x$ .  $\mathcal{K}$  denotes the set of all functions  $\gamma : R_+ \rightarrow R_+$ , which are continuous, strictly increasing and  $\gamma(0) = 0$ ;  $\mathcal{K}_\infty$  is the set of all functions which are of class  $\mathcal{K}$  and unbounded,  $\mathcal{KL}$  denotes the set of all functions  $\beta(s, t) : R_+ \times R_+ \rightarrow R_+$ , which are of class  $\mathcal{K}$  for each fixed  $t$ , and decrease to zero as  $t \rightarrow \infty$  for each fixed  $s$ .  $\sigma_1(s) = \mathcal{O}(\sigma_2(s))$  as  $s \rightarrow 0+$  means that  $\sigma_1(s) \leq c_1 \sigma_2(s)$  for some constant  $c_1 > 0$  and all  $s$  in a small neighborhood of zero, and  $\sigma_1(s) = \mathcal{O}(\sigma_2(s))$  as  $s \rightarrow \infty$  means that  $\sigma_1(s) \leq c_2 \sigma_2(s)$  for some constant  $c_2 > 0$  and all large enough  $s$ .  $L^2(R_+; R)$  is the family of all functions  $l : R_+ \rightarrow R$  such that  $\int_0^\infty l^2(t) dt < \infty$ .

## 2 Problem statements

In this paper, we consider a class of nonlinear systems with the detailed form described as

$$\begin{aligned} \dot{\eta} &= q(t, \eta, y), \\ \dot{x}_i &= x_{i+1} + f_i(t, \bar{x}_i) + g_i(t, \eta, y) + d_i(t), \quad i = 1, \dots, n-1, \\ \dot{x}_n &= u + f_n(t, \bar{x}_n) + g_n(t, \eta, y) + d_n(t), \\ y &= x_1, \end{aligned} \tag{1}$$

where  $x = (x_1, \dots, x_n) \in R^n$ ,  $u \in R$ ,  $y \in R$  are the state, the control input, and the measurable output, respectively,  $\eta \in R^q$  denotes the inverse dynamics,  $(x_2, \dots, x_n)$  and  $\eta$  are unmeasurable signals,  $\bar{x}_i = (x_1, \dots, x_i) \in R^i$ ,  $i = 1, \dots, n$ . It is assumed that the modeled (or known) dynamics  $f_i$ ,  $i = 1, \dots, n$ , are smooth, and the unmodeled (or uncertain) dynamics  $q$  and  $g_i$ ,  $i = 1, \dots, n$ , are locally Lipschitz.  $d_i(t)$ ,  $i = 1, \dots, n$ , are uncertain external noise.

The control objective is to design an output feedback controller for system (1) based on a reduced-order observer. Such controller drives the output of systems to zero asymptotically and maintains other closed-loop signals bounded.

The main results of paper are based on the following assumptions.

**Assumption 1.** For  $\eta$ -system of (1), there is a positive definite function  $V_0 \in C^1$  such that

$$\underline{\alpha}_0(|\eta|) \leq V_0(\eta) \leq \bar{\alpha}_0(|\eta|), \quad \frac{\partial V_0}{\partial \eta} q(\eta, y) \leq -\pi_0(|\eta|) + p_0 \gamma_0(|y|), \quad (2)$$

where  $\underline{\alpha}_0, \bar{\alpha}_0, \gamma_0$  are class  $\mathcal{K}_\infty$  functions,  $\pi_0$  is a positive-definite continuous function, and  $p_0$  is an uncertain positive constant.

**Remark 1.** From [11], one knows that  $\eta$ -subsystem satisfying (2) is iISS, and the functions pairs  $(\pi_0, p_0 \gamma_0)$  are supply rates. Specially, if  $\pi_0$  is class  $\mathcal{K}_\infty$  function, the  $\eta$ -subsystem is ISS.

Since  $p_0$  in (2) is unknown, the inverse dynamics have uncertain ISS/iISS supply rates.

**Assumption 2.** The modeled dynamics  $f_1(t, y) \leq \hat{f}_1(y)$  with  $\hat{f}_1(y)$  being smooth function and  $\hat{f}_1(0) = 0$ ,  $f_i(t, \bar{x}_i)$ ,  $i = 2, \dots, n$ , satisfy that

$$|f_i(t, \bar{x}_i) - f_i(t, \hat{x}_i)| \leq \rho_i |\bar{x}_i - \hat{x}_i|, \quad i = 2, \dots, n,$$

where  $\bar{x}_i = (x_1, \dots, x_i)$ ,  $\hat{x}_i = (x_1, \hat{x}_2, \dots, \hat{x}_i) \in R^i$ , and  $\rho_i$  are known positive constants with  $\rho_0 = (\sum_{i=2}^n \rho_i^2)^{1/2}$  such that the linear matrix inequality

$$\begin{pmatrix} P\bar{A} + \bar{A}^T P + SB + B^T S^T + \rho_0^2 \delta_1 I + 2Q & P \\ P & -\delta_1 I \end{pmatrix} \leq 0 \quad (3)$$

holds, where  $\bar{A} = \begin{pmatrix} 0 & I_{(n-2) \times (n-2)} \\ 0 & 0 \end{pmatrix}$ ,  $B = (-1, 0, \dots, 0)_{1 \times (n-1)}$ ,  $P, Q$  are positive definite matrices and  $\delta_1$  is a positive constant.

**Remark 2.** Assumption 3 shows that  $f_i$  includes not only the output, but also the unmeasured state variables. Moreover,  $f_i(\bar{x}_i)$  can be any smooth function with respect to measurable variable  $x_1$ , and be Lipschitz function with respect to the unmeasurable variables  $x_2, \dots, x_i$  with the Lipschitz constant satisfying LMI (3).

**Assumption 3.** For each  $1 \leq i \leq n$ , there exist unknown positive constants  $p_{i1}, p_{i2}$ , and known positive-definite smooth functions  $\phi_{i1}, \phi_{i2}$  such that

$$|g_i(t, \eta, y)| \leq p_{i1} \phi_{i1}(|y|) + p_{i2} \phi_{i2}(|\eta|).$$

**Assumption 4.** The external noise  $d_i(t)$  satisfies  $d_i(t) \in L^2(R_+; R)$ ,  $i = 1, \dots, n$ .

**Remark 3.** Assumption 3 is an usual condition in output feedback control of nonlinear systems (e.g., see [17, 18, 20, 23]). Assumption 4 shows that system (1) is disturbed by  $L^2$  noises.

### 3 Output feedback controller design

This section gives the design procedure of global output feedback controller by using the method of adaptive backstepping.

#### 3.1 Reduced-order observer design

Firstly, we define a new variable  $v = x_2 + g_1(t, \eta, y) + d_1(t)$ , which will play an important role in the following design. We construct the following  $(n - 1)$ -dimensional state estimation:

$$\begin{aligned}\dot{\hat{x}}_i &= \hat{x}_{i+1} + f_i(t, \hat{x}_i) + l_i(v - \hat{x}_2), \quad i = 2, \dots, n - 1, \\ \dot{\hat{x}}_n &= u + f_n(t, \hat{x}_n) + l_n(v - \hat{x}_2),\end{aligned}\quad (4)$$

where observer gain  $l = (l_2, \dots, l_n)^T$  is chosen such that

$$A^T P + PA + \delta_1^{-1} P P + \rho_0^2 \delta_1 I \leq -2Q, \quad (5)$$

in which  $A = \begin{pmatrix} -l & I_{n-2} \\ 0 & \dots & 0 \end{pmatrix}$ ,  $P, Q$  are positive definite matrices and  $\delta_1 > 0$ . Noting that the signal  $v$  in (4) is unmeasurable, we introduce the new observation variables

$$\xi_i = \hat{x}_i - l_i y, \quad i = 2, \dots, n, \quad (6)$$

which, together with (4), leads to

$$\begin{aligned}\dot{\xi}_i &= \hat{x}_{i+1} + f_i(t, \hat{x}_i) - l_i(f_1(t, y) + \hat{x}_2), \quad i = 2, \dots, n - 1, \\ \dot{\xi}_n &= u + f_n(t, \hat{x}_n) - l_n(f_1(t, y) + \hat{x}_2).\end{aligned}\quad (7)$$

From (6), one obtains  $f_i(t, \hat{x}_i) = f_i(t, y, \hat{x}_2, \dots, \hat{x}_i) = f_i(t, y, \xi_2 + l_2 y, \dots, \xi_i + l_i y) := \tilde{f}_i(t, y, \bar{\xi}_i)$ , where  $\bar{\xi}_i = (\xi_2, \dots, \xi_i)$ . Substituting (6) into (7), one obtains the reduced-order observer

$$\begin{aligned}\dot{\xi}_i &= \xi_{i+1} + l_{i+1} y + \tilde{f}_i(t, y, \bar{\xi}_i) - l_i(f_1(t, y) + \xi_2 + l_2 y), \quad i = 2, \dots, n - 1, \\ \dot{\xi}_n &= u + \tilde{f}_n(t, y, \bar{\xi}_n) - l_n(f_1(t, y) + \xi_2 + l_2 y).\end{aligned}\quad (8)$$

Defining the error variables  $e_i = x_i - \hat{x}_i$ ,  $2 \leq i \leq n$ , by (1) and (4), one has

$$\begin{aligned}\dot{e}_i &= -l_i e_2 + e_{i+1} + f_i(t, \bar{x}_i) - f_i(t, \hat{x}_i) - l_i g_1(t, \eta, y) \\ &\quad - l_i d_1(t) + g_i(t, \eta, y) + d_i(t), \quad i = 2, \dots, n - 1, \\ \dot{e}_n &= -l_n e_2 + f_n(t, \bar{x}_n) - f_n(t, \hat{x}_n) - l_n g_1(t, \eta, y) \\ &\quad - l_n d_1(t) + g_n(t, \eta, y) + d_n(t),\end{aligned}$$

which, in compact notation, is rewritten as

$$\dot{e} = Ae + F(t, x) - F(t, \hat{x}) + G(t, \eta, y) + D(t), \quad (9)$$

where  $e = (e_2, \dots, e_n)^T$ ,  $F(t, x) = (f_2(t, \bar{x}_2), \dots, f_n(t, \bar{x}_n))^T$ ,  $F(t, \hat{x}) = (f_2(t, \bar{\hat{x}}_2), \dots, f_n(t, \bar{\hat{x}}_n))^T$ ,  $G(t, \eta, y) = (g_2 - l_2g_1, \dots, g_n - l_ng_1)^T$ ,  $D(t) = (d_2(t) - l_2d_1(t), \dots, d_n(t) - l_nd_1(t))^T$ . Setting  $\bar{e} = 1/p^*e$ ,  $p^* = \max_{1 \leq i \leq n} \{1, p_{i1}, p_{i2}, p_{i2}^2\}$ , then (9) becomes

$$\dot{\bar{e}} = A\bar{e} + \frac{1}{p^*}(F(t, x) - F(t, \hat{x})) + \frac{1}{p^*}G(t, \eta, y) + \frac{1}{p^*}D(t), \quad (10)$$

which together with (1) and (8) consist of the following controlled system for feedback design:

$$\begin{aligned} \dot{\eta} &= q(t, \eta, y), \\ \dot{\bar{e}} &= A\bar{e} + \frac{1}{p^*}(F(t, x) - F(t, \hat{x})) + \frac{1}{p^*}G(t, \eta, y) + \frac{1}{p^*}D(t), \\ \dot{y} &= \xi_2 + p^*\bar{e}_2 + l_2y + f_1(t, y) + g_1(t, \eta, y) + d_1(t), \\ \dot{\xi}_2 &= \xi_3 + l_3y + \tilde{f}_2(t, y, \bar{\xi}_2) - l_2(f_1(t, y) + \xi_2 + l_2y), \\ &\vdots \\ \dot{\xi}_n &= u + \tilde{f}_n(t, y, \bar{\xi}_n) - l_n(f_1(t, y) + \xi_2 + l_2y). \end{aligned} \quad (11)$$

**Remark 4.** By Schur compliment lemma in [24], (5) can be solved by the linear matrix inequality (3).  $P$ ,  $S$  and  $\delta_1$  in (3) can be solved by using LMI toolbox in MATLAB and the observer gain  $l = P^{-1}S$ .

**Remark 5.** In [20], there is a mistake in the choice of observer gain. Here we correct it and give a LMI algorithm of it.

### 3.2 Adaptive controller design

Now, we give the adaptive controller design procedure by using the backstepping method.

*Step 1.* Begin with the  $y$ -subsystem of (11) and consider  $\xi_2$  as the virtual dynamic control input. We define the 1st dynamic virtual control input

$$\alpha_1 = -c\kappa\psi_1(y)y, \quad \dot{\kappa} = \Gamma\psi_1(y)y^2, \quad (12)$$

where  $\Gamma$ ,  $c$  are two positive parameters and  $\psi_1$  is a smooth positive design function, introduce a new intermediate variable  $v_2 = \xi_3 + l_3y + \tilde{f}_2(y, \bar{\xi}_2) - l_2(f_1(y) + \xi_2 + l_2y) - \partial\alpha_1/\partial\kappa\Gamma\psi_1(y)y^2$ , and set  $z_1 = \xi_2 - \alpha_1(\kappa, y)$ , obviously,

$$\dot{z}_1 = v_2 - \frac{\partial\alpha_1}{\partial y}(\xi_2 + l_2y + p^*\bar{e}_2 + f_1 + g_1 + d_1(t)). \quad (13)$$

Step 2. Denoting  $V_1 = (1/2)y^2$ , viewing  $\xi_2$  as the virtual control input, and considering the Lyapunov function  $V_2 = (1/2)y^2 + (1/2)z_1^2$ , with the use of (11)–(13), one has

$$\begin{aligned} \dot{V}_2 = & z_1 \left( v_2 + y - \frac{\partial \alpha_1}{\partial y} (\xi_2 + l_2 y + p^* \bar{e}_2 + f_1 + g_1 + d_1) \right) \\ & - c\kappa\psi_1 y^2 + y(p^* \bar{e}_2 + l_2 y + f_1 + g_1 + d_1). \end{aligned} \tag{14}$$

By Young’s inequality, one leads to

$$\begin{aligned} z_1 y \leq & \frac{1}{2} z_1^2 + \frac{1}{2} y^2, \\ -\frac{\partial \alpha_1}{\partial y} z_1 (p^* \bar{e}_2 + g_1 + d_1) \leq & \frac{2\epsilon_2 p^* + p^{*2}}{2\epsilon_2} \left( \frac{\partial \alpha_1}{\partial y} \right)^2 z_1^2 + \epsilon_2 \bar{e}_2^2 + \frac{g_1^2}{2p^*} + \frac{d_1^2}{2p^*}, \end{aligned} \tag{15}$$

where  $\epsilon_2$  is a small design parameter to be determined in Appendix. We define an unknown constant  $\theta$  such that  $\theta \geq (2\epsilon_2 p^* + p^{*2}) / (2\epsilon_2)$ , and set  $\Phi_1(t, \bar{e}_2, \eta, y) = y(p^* \bar{e}_2 + l_2 y + f_1 + g_1 + d_1) + (1/2)y^2 + \epsilon_2 \bar{e}_2^2 + g_1^2 / 2p^* + d_1^2 / 2p^*$ , by (14) and (15), some simple manipulations lead to

$$\dot{V}_2 \leq z_1 \left( v_2 + \frac{1}{2} z_1 - \frac{\partial \alpha_1}{\partial y} (\xi_2 + l_2 y + f_1) + \theta \left( \frac{\partial \alpha_1}{\partial y} \right)^2 z_1 \right) - c\kappa\psi_1(y)y^2 + \Phi_1.$$

Letting  $\hat{\theta}$  be the estimate of the unknown parameter  $\theta$ , choosing  $\bar{V}_2 = V_2 + 1/(2\Gamma_\theta) \times (\hat{\theta} - \theta)^2$ , where  $\Gamma_\theta > 0$  is a parameter, and setting  $z_2 = \xi_3 - \alpha_2(\kappa, y, \xi_2, \hat{\theta})$ ,  $\tau_1 = \Gamma_\theta (\partial \alpha_1 / \partial y)^2 z_1^2$ , and  $\alpha_2 = -c_1 z_1 - (1/2)z_1 - l_3 y - f_2 + l_2(f_1 + \xi_2 + l_2 y) + (\partial \alpha_1 / \partial \kappa) \times \Gamma_\theta \psi_1 y^2 + (\partial \alpha_1 / \partial y)(\xi_2 + l_2 y + f_1) - \hat{\theta}(\partial \alpha_1 / \partial y)^2 z_1$ , in which  $c_1 > 0$  is a constant, one can verify that

$$\dot{\bar{V}}_2 \leq -c\kappa\psi_1(y)y^2 + \Phi_1 + z_1 z_2 - c_1 z_1^2 + \frac{1}{\Gamma_\theta} (\hat{\theta} - \theta)(\dot{\hat{\theta}} - \tau_1), \tag{16}$$

and the variable  $z_2$  satisfies

$$\dot{z}_2 = v_3 - \frac{\partial \alpha_2}{\partial \hat{\theta}} \dot{\hat{\theta}} - \frac{\partial \alpha_2}{\partial y} (\xi_2 + p^* \bar{e}_2 + l_2 y + f_1 + g_1 + d_1),$$

where  $v_3 = \xi_4 + l_4 y + \tilde{f}_3 - l_3(f_1 + \xi_2 + l_2 y) - (\partial \alpha_2 / \partial \kappa) \Gamma_\theta \psi_1 y^2 - (\partial \alpha_2 / \partial \xi_2)(\xi_3 + l_3 y + f_2 - l_2(f_1 + \xi_2 + l_2 y))$ .

Step  $i$  ( $3 \leq i \leq n$ ). At step  $i$ , one can obtain the similar property to (16). Such a result is presented by the following lemma, for notational coherence, denote  $u = \xi_{n+1}$ .

**Lemma 1.** For each  $i = 3, \dots, n$ , there exist smooth functions  $\alpha_i, \tau_{i-1}, \Phi_{i-1}$ , variable  $z_i = \xi_{i+1} - \alpha_i$ , and positive constant  $c_{i-1}$  such that  $\bar{V}_i = \bar{V}_{i-1} + (1/2)z_{i-1}^2$  satisfies

$$\begin{aligned} \dot{\bar{V}}_i \leq & -c\kappa\psi_1(y)y^2 + \Phi_{i-1} + z_{i-1} z_i \\ & - \sum_{j=1}^{i-1} c_j z_j^2 + \frac{1}{\Gamma_\theta} \left( \hat{\theta} - \theta - \sum_{j=1}^{i-1} \Gamma_\theta z_j \frac{\partial \alpha_j}{\partial \hat{\theta}} \right) (\dot{\hat{\theta}} - \tau_{i-1}). \end{aligned} \tag{17}$$

*Proof.* See Appendix. □

Hence at step  $n$ , by Lemma 1, there is a smooth dynamic output feedback controller

$$u = \alpha_n(\kappa, y, \xi_2, \dots, \xi_n, \hat{\theta}), \quad \dot{\kappa} = \Gamma\psi_1(y)y^2, \quad \dot{\hat{\theta}} = \tau_{n-1}, \quad (18)$$

such that  $\bar{V}_n = (1/2)y^2 + (1/2)\sum_{j=1}^{n-1} z_j^2 + (1/2)\Gamma_\theta(\hat{\theta} - \theta)^2$  satisfies

$$\dot{\bar{V}}_n \leq -c\kappa\psi_1(y)y^2 + \Phi_{n-1} - \sum_{j=1}^{n-1} c_j z_j^2. \quad (19)$$

### 4 Main result

Before giving the main result of paper, we need the following lemmas.

**Lemma 2.** Consider the  $\eta$ -subsystem satisfying Assumption 1.

(i) If  $\liminf_{s \rightarrow \infty} \pi_0(s) = \infty$ , then, for any positive-definite continuous function  $\phi$  with

$$\phi(s) = \mathcal{O}(\pi_0(s)) \quad \text{as } s \rightarrow 0+,$$

there always exist a positive-definite function  $\sigma$  and a class  $\mathcal{K}_\infty$  function  $\varphi$  such that

$$\int_0^t \phi(|\eta(\tau)|) \, d\tau \leq \sigma(|\eta_0|) + \tilde{p}_0 \int_0^t \varphi(|y(\tau)|) \, d\tau,$$

where  $\tilde{p}_0$  is unknown positive constant. Moreover, if  $\gamma_0$  is such that  $\gamma_0(s) = \mathcal{O}(s^2)$  as  $s \rightarrow 0+$ , so is  $\varphi$ .

(ii) If  $\liminf_{s \rightarrow \infty} \pi_0(s) < \infty$ , then, for any positive-definite continuous function  $\phi$  with

$$\phi(s) = \mathcal{O}(\pi_0(s)) \quad \text{as } s \rightarrow 0+ \text{ and } s \rightarrow \infty,$$

the same conclusion of (i) also holds.

*Proof.* The proof of Lemma 2 is similar to the proof of Proposition 2 in [20]. □

**Lemma 3.** There are unknown positive constant  $\theta_0$ , which is dependent on  $\epsilon_2, p^*, p_{i1}, p_{i2}$  ( $1 \leq i \leq n$ ),  $l_2$  and relative degree  $n$ , and uncertain  $L^2(\mathbb{R}_+; \mathbb{R})$  functions  $D_1(t), D_2(t), D_3(t)$  such that

$$\begin{aligned} |\Phi_{n-1}| &\leq n\epsilon_2\bar{e}_2^2 + \theta_0(y^2 + \hat{f}_1^2(y) + \phi_{11}^2(|y|) + \phi_{12}^2(|\eta|)) + D_1^2(t), \\ \frac{1}{p^{*2}}|G|^2 &\leq \sum_{i=2}^n 4(l_i^2\phi_{11}^2(|y|) + \phi_{i1}^2(|y|)) + \sum_{i=2}^n 4(l_i^2\phi_{12}^2(|\eta|) + \phi_{i2}^2(|\eta|)) + D_2^2(t), \\ \frac{1}{p^{*2}}|D(t)|^2 &\leq D_3^2(t). \end{aligned}$$

*Proof.* With the aid of the completion of squares, it follows directly from the definitions of  $\Phi_{n-1}$ ,  $G$  and  $D(t)$ .  $\square$

**Theorem 1.** *Suppose that Assumptions 1–4 hold with the following properties:*

$$\phi_{i2}^2(s) = \begin{cases} \mathcal{O}(\pi_0(s)) \text{ as } s \rightarrow 0+ & \text{if } \liminf_{s \rightarrow \infty} \pi_0(s) = \infty, \\ \mathcal{O}(\pi_0(s)) \text{ as } s \rightarrow 0+, s \rightarrow \infty & \text{if } \liminf_{s \rightarrow \infty} \pi_0(s) < \infty \end{cases} \quad (20)$$

for all  $i = 1, \dots, n$ , and  $\gamma_0(s) = \mathcal{O}(s^2)$  as  $s \rightarrow 0+$ . Then by choosing the design function  $\psi_1$ , one has:

- (i) The solutions of (1), (8) and (18) are well-defined and bounded over  $[0, \infty)$ .
- (ii)  $\lim_{t \rightarrow \infty} (|y(t)| + |\eta(t)|) = 0$ .

*Proof.* (i) Choosing the Lyapunov function  $V_e = \bar{e}^T P \bar{e}$ , where  $P = P^T > 0$  is defined in (5), and  $V_c = V_e + \bar{V}_n$ , by (10) and Lemma 3, one has

$$\begin{aligned} \dot{V}_e &= \bar{e}^T (A^T P + PA) \bar{e} + \frac{2}{p^*} \bar{e}^T P (F(t, x) - F(t, \hat{x})) + \frac{2}{p^*} \bar{e}^T P G + \frac{2}{p^*} \bar{e}^T P D \\ &\leq \bar{e}^T (A^T P + PA) \bar{e} + \delta_1^{-1} \bar{e}^T P P \bar{e} + \frac{\delta_1}{p^{*2}} |F(t, x) - F(t, \hat{x})|^2 \\ &\quad + 2\delta_2^{-1} \bar{e}^T P P \bar{e} + \frac{\delta_2}{p^{*2}} |G|^2 + \frac{\delta_2}{p^{*2}} |D|^2 \\ &\leq \bar{e}^T (A^T P + PA + \delta_1^{-1} P P + \delta_1 \rho_0^2 I + 2\delta_2^{-1} P P) \bar{e} \\ &\quad + 4\delta_2 \sum_{i=2}^n (l_i^2 \phi_{i1}^2(|y|) + \phi_{i1}^2(|y|)) \\ &\quad + 4\delta_2 \sum_{i=2}^n (l_i^2 \phi_{i2}^2(|\eta|) + \phi_{i2}^2(|\eta|)) + \delta_2 (D_2^2(t) + D_3^2(t)). \end{aligned} \quad (21)$$

From (19), (21) and Lemma 3, it follows that

$$\begin{aligned} c\dot{V}_c &\leq -c\kappa\psi_1(y)y^2 + \theta_0(y^2 + \hat{f}_1^2(y) + \phi_{11}^2(|y|) + \phi_{12}^2(|\eta|)) \\ &\quad + D_1^2(t) + \delta_2 (D_2^2(t) + D_3^2(t)) \\ &\quad + \bar{e}^T (A^T P + PA + \delta_1^{-1} P P + \delta_1 \rho_0^2 I + 2\delta_2^{-1} P P + n\epsilon_2 I) \bar{e} \\ &\quad + 4\delta_2 \sum_{i=2}^n (l_i^2 \phi_{i1}^2(|y|) + \phi_{i1}^2(|y|)) + 4\delta_2 \sum_{i=2}^n (l_i^2 \phi_{i2}^2(|\eta|) + \phi_{i2}^2(|\eta|)). \end{aligned} \quad (22)$$

One can choose sufficiently large  $\delta_2$  and sufficiently small  $\epsilon_2$  such that  $2\delta_2^{-1} P P + n\epsilon_2 I \leq Q$ , which together with (5) imply that

$$A^T P + PA + (\delta_1^{-1} + 2\delta_2^{-1}) P P + (\rho_0^2 \delta_1 + n\epsilon_2) I \leq -Q, \quad (23)$$

where  $Q$  is defined in (5). By Assumption 1, Lemma 2 and (20), one has

$$\int_0^t \phi_{i2}^2(|\eta(s)|) ds \leq \sigma_i(|\eta(0)|) + \tilde{p}_{i0} \int_0^t \varphi_{i1}(|y(s)|) ds, \tag{24}$$

where  $\sigma_i$  are positive definite functions,  $\varphi_{i1} \in \mathcal{K}_\infty$  with  $\varphi_{i1}(s) = \mathcal{O}(s^2)$  as  $s \rightarrow 0+$ , and  $\tilde{p}_{i0}$  are unknown positive constants.

Choose a smooth design function  $\psi_1$  to satisfy

$$\begin{aligned} \psi_1(y)y^2 \geq & \max\{y^2 + \hat{f}_1^2(y) + \phi_{11}^2(|y|), l_i^2 \phi_{11}^2(|y|) + \phi_{i1}^2(|y|), \\ & \varphi_{i1}(|y|), \gamma_0(|y|), 1 \leq i \leq n\}. \end{aligned} \tag{25}$$

Such a function  $\psi_1$  always exists due to the fact that  $f_1, \phi_{i1}$  are smooth near zero with  $f_1(0) = \phi_{i1}(0) = 0$ , and  $\varphi_{i1}(s) = \mathcal{O}(s^2)$  as  $s \rightarrow 0+$ . Then it follows from (22) and (25) that

$$\begin{aligned} \dot{V}_c \leq & -c\kappa\psi_1 y^2 + (\theta_0 + 4(n-1)\delta_2)\psi_1 y^2 + \theta_0 \phi_{12}^2(|\eta|) \\ & + 4\delta_2 \sum_{i=2}^n (l_i^2 \phi_{i2}^2(|\eta|) + \phi_{i2}^2(|\eta|)) + D_1^2(t) + \delta_2(D_2^2(t) + D_3^2(t)). \end{aligned} \tag{26}$$

Integrating on both sides of (26) from 0 to  $t$ , and noting  $\dot{\kappa} = \Gamma\psi_1(y)y^2$  in (18), by (24) and (25), one gets

$$V_c(t) - V_c(0) \leq -\frac{c}{2\Gamma}\kappa^2(t) + d_1\kappa(t) + d_2 + \int_0^t D_4^2(s) ds, \tag{27}$$

where  $d_1 = (1/\Gamma)(2\theta_0\tilde{p}_{10} + 8(n-1)\delta_2 + 4\delta_2 \sum_{i=2}^n l_i^2 \tilde{p}_{i0})$ ,  $d_2 = -d_1\kappa(0) + c/(2\Gamma) \times \kappa^2(0) + \theta_0\sigma_1(|\eta(0)|) + 4\delta_2 \sum_{i=2}^n (\sigma_i(|\eta(0)|) + l_i^2\sigma_1(|\eta(0)|))$ ,  $D_4^2(t) = D_1^2(t) + \delta_2(D_2^2(t) + D_3^2(t))$ .

Assume that the solutions of the closed-loop system are defined on a right-maximal interval  $[0, T)$  with  $0 < T \leq \infty$ . Next, we will prove that  $\kappa(t)$  is bounded on  $[0, T)$  by contradiction. Suppose that  $\kappa(t)$  is unbounded, since  $\dot{\kappa} = \Gamma\psi_1(y)y^2 \geq 0$ , so  $\kappa(t)$  is increasing and tends to  $\infty$  as  $t \rightarrow T$ . Dividing both sides of (27) by  $\kappa(t)$  for sufficiently large  $t$  (where  $t < T$ ), one gets

$$\frac{-V_c(0) - d_2 - \int_0^t D_4^2(s) ds}{\kappa(t)} \leq -\frac{c}{2\Gamma}\kappa(t) + d_1. \tag{28}$$

Since  $D_1, D_2, D_3 \in L^2(R_+; R)$ , so  $D_4 \in L^2(R_+; R)$ . As  $t \rightarrow T$ , the right side of (28) converges to  $-\infty$ , while the left side of (28) converges to zero, which is a contradiction. Consequently,  $\kappa(t)$  is bounded on  $[0, T)$ .

By (12), (25) and the boundedness of  $\kappa(t)$ , we obtain that  $\int_0^t \gamma_0(|y(s)|) ds$  is bounded on  $[0, T)$ , which together with (2) imply that  $V_0(\eta(t))$  and  $\eta(t)$  remain bounded on  $[0, T)$ .

Using (27) and the boundedness of  $\kappa(t)$ , one also concludes that  $V_c(t)$  is bounded over  $[0, T)$ . By definition of  $V_c(t)$  in (21) above, it holds that the closed-loop signals  $y(t)$ ,  $z_1(t), \dots, z_{n-1}(t)$ ,  $\hat{\theta}(t)$  and  $\bar{e}(t)$  are all bounded over  $[0, T)$ . From the definition of  $z_i(t)$  and  $\alpha_i(t)$ , it is not hard to prove that  $\xi_i(t)$ ,  $x_i(t)$ ,  $u(t)$  are bounded over  $[0, T)$ . Therefore,  $T = \infty$ , and conclusion (i) holds.

(ii) By the boundedness of  $y(t)$  and  $\dot{y}(t)$ , then  $\gamma_0(|y(t)|)$  is uniformly continuous in  $[0, \infty)$ . Using  $\int_0^\infty \gamma_0(|y(t)|) dt < \infty$  and Barbalat's lemma in [23], one has  $\lim_{t \rightarrow \infty} \gamma_0(|y(t)|) = 0$  and  $\lim_{t \rightarrow \infty} y(t) = 0$ . By Assumption 1,  $\int_0^\infty \gamma_0(|y(t)|) dt < \infty$  and Proposition 6 in [10], one has  $\lim_{t \rightarrow \infty} \eta(t) = 0$ . By (21), (23) and (24), one can obtain that  $\int_0^\infty \bar{e}^T Q \bar{e}(t) dt < \infty$ , so by Barbalat's lemma,  $\lim_{t \rightarrow \infty} \bar{e}(t) = 0$ . This concludes the proof.  $\square$

## 5 A simulation example

Consider the following nonlinear system with inverse dynamics and noises:

$$\begin{aligned} \dot{\eta} &= -\arctan \eta + d_0 y^2, \\ \dot{x}_1 &= x_2 + f_1(x_1) + p_{11} y + p_{12} \frac{\eta}{1+|\eta|} + \frac{d_1}{1+t}, \\ \dot{x}_2 &= u + f_2(\bar{x}_2) + p_{21} y^2 + p_{22} \frac{\eta^2}{1+\eta^2} + d_2 e^{-t}, \\ y &= x_1, \end{aligned} \quad (29)$$

where  $f_1(x_1) = x_1^2$ ,  $f_2(\bar{x}_2) = x_1 + \cos x_2$ , and  $p_{11}$ ,  $p_{12}$ ,  $p_{21}$ ,  $p_{22}$ ,  $d_0$ ,  $d_1$  and  $d_2$  are unknown constants. Choosing  $V(\eta) = \eta \arctan \eta$ , it is easy to verify that  $\dot{V}(\eta) \leq -\arctan^2 |\eta| + 3d_0 y^2$ .

With the notations of Assumptions 1-4, one can take  $\pi_0(|\eta|) = \arctan^2 |\eta|$ ,  $\gamma_0(|y|) = 3y^2$ ,  $\phi_{11}(|y|) = |y|$ ,  $\phi_{12}(|\eta|) = |\eta|/(1+|\eta|)$ ,  $\phi_{21}(|y|) = y^2$ ,  $\phi_{22}(|\eta|) = \eta^2/(1+\eta^2)$ . Then  $\phi_{i2}^2(s) = \mathcal{O}(\pi_0(s))$  as  $s \rightarrow 0+$  and  $s \rightarrow \infty$ ,  $i = 1, 2$ , the conditions of Theorem 1 are satisfied.

By (8), the reduced-order observer is given by

$$\dot{\xi}_2 = u + f_2(y, \xi_2 + l_2 y) - l_2 (f_1(y) + \xi_2 + l_2 y). \quad (30)$$

According to Section 3, the dynamic output feedback control law can be designed as

$$\begin{aligned} \dot{\kappa} &= \Gamma \psi_1(y) y^2, \quad \dot{\hat{\theta}} = \Gamma_\theta \left( \frac{\partial \alpha_1}{\partial y} \right)^2 z_1^2, \\ u &= -c_1 z_1 - \frac{1}{2} z_1 - f_2(y, \xi_2 + l_2 y) + l_2 (f_1(y) + \xi_2 + l_2 y) \\ &\quad + \frac{\partial \alpha_1}{\partial \kappa} \Gamma \psi_1(y) y^2 + \frac{\partial \alpha_1}{\partial y} (\xi_2 + l_2 y + f_1) - \hat{\theta} \left( \frac{\partial \alpha_1}{\partial y} \right)^2 z_1, \end{aligned} \quad (31)$$

where  $\alpha_1 = -c\kappa\psi_1(y)y$ ,  $z_1 = \xi_2 - \alpha_1$ , and  $\Gamma$ ,  $\Gamma_\theta$ ,  $c$ ,  $c_1$  are positive parameters.

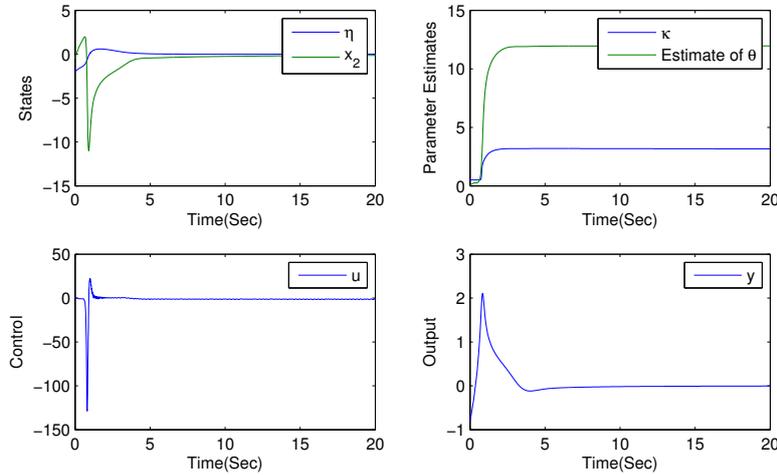


Fig. 1. The responses of closed-loop system (29)–(31).

By the proof of Proposition 2 in [20], one can take  $\varphi_{11}(|y|) = \varphi_{21}(|y|) = 3y^2$  in (24). So  $\psi_1(y)$  in (25) can be chosen as  $\psi_1(y) = 2y^2 + l_2^2 + 3$ .

In simulation, we choose the parameters  $c = 0.1$ ,  $c_1 = 0.1$ ,  $l_2 = 1$ ,  $\Gamma_\theta = 0.8$ ,  $\Gamma = 0.6$ ,  $p_{11} = 1$ ,  $p_{12} = 1$ ,  $p_{21} = 0.5$ ,  $p_{22} = 0.5$ ,  $d_0 = 1$ ,  $d_1 = 3$ ,  $d_2 = 5$ , the initial values  $\eta(0) = -2$ ,  $x_1(0) = -0.8$ ,  $x_2(0) = -0.5$ ,  $\xi_2(0) = 0.1$ ,  $\kappa(0) = 0$ ,  $\hat{\theta}(0) = 0.5$ . Fig. 1 gives the responses of closed-loop system (29)–(31).

## 6 Conclusions

This paper considers global output feedback control for a class of nonlinear systems with inverse dynamics and  $L^2$  noise. For the inverse dynamics with uncertain supply rates, the reduced-order observer based output feedback controller is constructed, which drives the output of system to zero asymptotically and maintains other closed-loop signals bounded.

## Appendix. The proof of Lemma 1

Assuming that  $\bar{V}_{i-1}$  satisfies the similar properties to (17), noticing that

$$\begin{aligned} \dot{z}_{i-1} &= v_i - \frac{\partial \alpha_{i-1}}{\partial \hat{\theta}} \dot{\hat{\theta}} - \frac{\partial \alpha_{i-1}}{\partial y} (\xi_2 + p^* \bar{e}_2 + l_2 y + f_1 + g_1 + d_1), \\ v_i &= \xi_{i+1} + l_{i+1} y + \tilde{f}_i - l_i (f_1 + \xi_2 + l_2 y) - \frac{\partial \alpha_{i-1}}{\partial \kappa} \Gamma \psi_1 y^2 \\ &\quad - \sum_{j=2}^{i-1} \frac{\partial \alpha_{i-1}}{\partial \xi_j} (\xi_{j+1} + l_{j+1} y + \tilde{f}_j - l_j (f_1 + \xi_2 + l_2 y)), \end{aligned} \quad (32)$$

there holds

$$\begin{aligned} \dot{V}_i &\leq -c\kappa\psi_1(y)y^2 + \Phi_{i-2} + z_{i-2}z_{i-1} - \sum_{j=1}^{i-2} c_j z_j^2 \\ &\quad + \frac{1}{\Gamma_\theta} \left( \hat{\theta} - \theta - \sum_{j=1}^{i-2} \Gamma_\theta z_j \frac{\partial \alpha_j}{\partial \hat{\theta}} \right) (\dot{\hat{\theta}} - \tau_{i-2}) \\ &\quad + z_{i-1} \left( v_i - \frac{\partial \alpha_{i-1}}{\partial \hat{\theta}} \dot{\hat{\theta}} - \frac{\partial \alpha_{i-1}}{\partial y} (\xi_2 + p^* \bar{e}_2 + l_2 y + f_1 + g_1 + d_1) \right). \end{aligned} \quad (33)$$

Using Young's inequality, it follows that

$$\begin{aligned} & - \frac{\partial \alpha_{i-1}}{\partial y} z_{i-1} (p^* \bar{e}_2 + g_1 + d_1) \\ & \leq \epsilon_2 \bar{e}_2^2 + \frac{2\epsilon_2 p^* + p^{*2}}{2\epsilon_2} \left( \frac{\partial \alpha_{i-1}}{\partial y} \right)^2 z_{i-1}^2 + \frac{g_1^2}{2p^*} + \frac{d_1^2}{2p^*}. \end{aligned} \quad (34)$$

Define

$$\begin{aligned} \tau_{i-1} &= \tau_{i-2} + \Gamma_\theta \left( \frac{\partial \alpha_{i-1}}{\partial y} \right)^2 z_{i-1}^2, \quad \Phi_{i-1} = \Phi_{i-2} + \epsilon_2 \bar{e}_2^2 + \frac{g_1^2}{2p^*} + \frac{d_1^2}{2p^*}, \\ \alpha_i &= -c_{i-1} z_{i-1} - z_{i-2} - l_{i+1} y + l_i (f_1 + \xi_2 + l_2 y) - \tilde{f}_i + \frac{\partial \alpha_{i-1}}{\partial y} (\xi_2 + l_2 y + f_1) \\ &\quad + \sum_{j=2}^{i-1} \frac{\partial \alpha_{i-1}}{\partial \xi_j} (\xi_{j+1} + l_{j+1} y + \tilde{f}_j - l_j (f_1 + \xi_2 + l_2 y)) + \frac{\partial \alpha_{i-1}}{\partial \kappa} \Gamma \psi_1 y^2 \\ &\quad + \frac{\partial \alpha_{i-1}}{\partial \hat{\theta}} \tau_{i-1} - \hat{\theta} \left( \frac{\partial \alpha_{i-1}}{\partial y} \right)^2 z_{i-1} + \sum_{j=1}^{i-2} z_j \frac{\partial \alpha_j}{\partial \hat{\theta}} \Gamma_\theta \left( \frac{\partial \alpha_{i-1}}{\partial y} \right)^2 z_{i-1}, \end{aligned}$$

where  $l_{n+1} = 0$ , which together with (32)–(34) and  $z_i = \xi_{i+1} - \alpha_i$  imply that

$$\begin{aligned} \dot{V}_i &\leq -c\kappa\psi_1(y)y^2 + \Phi_{i-1} + z_{i-1}z_{i-2} - \sum_{j=1}^{i-1} c_j z_j^2 \\ &\quad + \frac{1}{\Gamma_\theta} \left( \hat{\theta} - \theta - \sum_{j=1}^{i-2} \Gamma_\theta z_j \frac{\partial \alpha_j}{\partial \hat{\theta}} \right) (\dot{\hat{\theta}} - \tau_{i-2}) + z_{i-1} \left( (\theta - \hat{\theta}) \left( \frac{\partial \alpha_{i-1}}{\partial y} \right)^2 z_{i-1} \right. \\ &\quad \left. + \sum_{j=1}^{i-2} z_j \frac{\partial \alpha_j}{\partial \hat{\theta}} \Gamma_\theta \left( \frac{\partial \alpha_{i-1}}{\partial y} \right)^2 z_{i-1} - \frac{\partial \alpha_{i-1}}{\partial \hat{\theta}} (\dot{\hat{\theta}} - \tau_{i-1}) \right) \\ &= -c\kappa\psi_1(y)y^2 + \Phi_{i-1} + z_{i-1}z_i - \sum_{j=1}^{i-1} c_j z_j^2 \\ &\quad + \frac{1}{\Gamma_\theta} \left( \hat{\theta} - \theta - \sum_{j=1}^{i-1} \Gamma_\theta z_j \frac{\partial \alpha_j}{\partial \hat{\theta}} \right) (\dot{\hat{\theta}} - \tau_{i-1}). \end{aligned} \quad (35)$$

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