

# A class of nonlinear double-phase Dirichlet fractional differential equations<sup>\*</sup>

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**Abstract.** In this paper, we study the existence of positive solutions for a new class of doublephase Dirichlet fractional differential equations with singular and superlinear terms. By applying the Nehari manifold method we show that for all small values of the parameter  $\tau > 0$ , the considered equation has at least two positive solutions.

Keywords:  $\psi$ -Hilfer fractional derivative, double-phase problem, positive solution, singularity.

## **1** Introduction and motivation

In this paper, we study the existence of solutions to the following singular double-phase fractional problem:

$$\mathbf{L}_{p}^{\alpha,\beta;\psi}\phi = (\xi)\phi^{-\varpi} + \tau\phi^{r-1} \quad \text{in } \Omega := (0,T) \times (0,T),$$
  
 
$$\phi = 0 \quad \text{on } \partial\Omega,$$
 (P<sub>\tau</sub>)

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where T > 0, and  $\mathbf{L}_{p}^{\alpha,\beta;\psi}\phi$  is the double-phase fractional differential operator defined by

$$\begin{split} \mathbf{L}_{p}^{\alpha,\beta;\psi}\phi &:= \mathfrak{D}_{T}^{\alpha,\beta;\psi}\big(\big|\mathfrak{D}_{0^{+}}^{\alpha,\beta;\psi}\phi\big|^{p-2}\mathfrak{D}_{0^{+}}^{\alpha,\beta;\psi}\phi\big) \\ &- \mathfrak{D}_{T}^{\alpha,\beta;\psi}\big(\kappa(\xi)\big|\mathfrak{D}_{0^{+}}^{\alpha,\beta;\psi}\phi\big|^{q-2}\mathfrak{D}_{0^{+}}^{\alpha,\beta;\psi}\phi\big) \end{split}$$

with  $1 < q < p < r < p_{\alpha}^{*}, 0 < \varpi < 1, \tau > 0, \alpha \in (0, 1), \beta \in [0, 1], p_{\alpha}^{*} = 2p/(2 - \alpha p)$ , and  $\alpha p < 2$ . Also,  $\mathfrak{D}_{T}^{\alpha,\beta;\psi}(\cdot)$  and  $\mathfrak{D}_{0+}^{\alpha,\beta;\psi}(\cdot)$  denote, respectively, the  $\psi$ -Hilfer fractional differential operators of order  $0 < \alpha < 1$  and type  $0 \leq \beta \leq 1$ . In addition, the weight  $\kappa : \Omega \to \mathbb{R}_{+}$  is assumed to be essentially bounded.

The energy functional  $\mathcal{E}^{\alpha,\beta;\psi}_{\tau}: \mathcal{H}^{\alpha,\beta;\psi}_{p}(\Omega) \to \mathbb{R}$  corresponding to problem (P<sub>\tau</sub>) is given by

$$\mathcal{E}^{\alpha,\beta;\psi}_{\tau}(\phi) = \frac{1}{p} \left\| \mathfrak{D}^{\alpha,\beta;\psi}_{0^+} \phi \right\|_p^p + \frac{1}{q} \int_{\Omega} \kappa(\xi) \left| \mathfrak{D}^{\alpha,\beta;\psi}_{0^+} \phi \right|^q \mathrm{d}\xi - \frac{1}{1-\varpi} \int_{\Omega} a(\xi) |\phi|^{1-\varpi} \,\mathrm{d}\xi - \frac{\tau}{r} \|\phi\|_r^r \quad \text{for all } \phi \in \mathcal{H}^{\alpha,\beta;\psi}_p(\Omega).$$
(1)

Furthermore, we say that  $\phi \in \mathcal{H}_{p}^{\alpha,\beta;\psi}(\Omega)$  is a weak positive solution of  $(P_{\tau})$  if  $\phi(\xi) \ge 0$  for a.a.  $\xi \in \Omega \ \phi \neq 0$  and

$$\int_{\Omega} |\mathfrak{D}_{0^{+}}^{\alpha,\beta;\psi}\phi|^{p-2} \mathfrak{D}_{0^{+}}^{\alpha,\beta;\psi}\phi \mathfrak{D}_{0^{+}}^{\alpha,\beta;\psi}h\,\mathrm{d}\xi + \int_{\Omega} \kappa(\xi) |\mathfrak{D}_{0^{+}}^{\alpha,\beta;\psi}\phi|^{q-2} \mathfrak{D}_{0^{+}}^{\alpha,\beta;\psi}\phi \mathfrak{D}_{0^{+}}^{\alpha,\beta;\psi}h\,\mathrm{d}\xi$$
$$= \int_{\Omega} a(\xi)\phi^{-\varpi}h\,\mathrm{d}\xi + \tau \int_{\Omega} \phi^{r-1}h\,\mathrm{d}\xi \quad \text{for all } \phi \in \mathcal{H}_{p}^{\alpha,\beta;\psi}(\Omega).$$

Let  $p \in (1, \infty)$ . The *p*-Laplace operator,  $\Delta_p$ , is defined as follows:

$$\Delta_p u = \operatorname{div}(|\nabla u|^{p-2} \nabla u).$$
<sup>(2)</sup>

It is clear that if p = 2, then (2) reduces to the Laplacian. In terms of applications, the Laplace operator (i.e., p = 2) is well suited to the study of the problems with isotropic structure. Unlike the Laplace operator, the *p*-Laplacian is a nonlinear and nonhomogeneous operator, which can be applied to the study of non-Newtonian fluids, nonlinear elasticity theory, oil production, etc.; see [6, 11, 13, 20, 27, 28].

In order to provide effective mathematical modeling for the study of strongly anisotropic materials, in the 1980s, Zhikov [34] introduced a class of nonhomogeneous and nonlinear differential operators with nonbalance growth (which is called by double-phase operators), i.e.,

$$u \mapsto \Delta_{(p,q)} u := \operatorname{div} \left( |\nabla u|^{p-2} \nabla u + a(x) |\nabla u|^{q-2} \nabla u \right), \tag{3}$$

where  $1 , <math>N \ge 2$ ,  $\Omega \subset \mathbb{R}^N$  is a bounded domain with Lipschitz boundary, and  $a : \Omega \to [0, \infty)$  is Lipschitz continuous. In recent years, problems involving differential operators (3) have gained dramatic development in the study of the existence and multiplicity of solutions via the application of variational methods; see, e.g., [3, 4, 7, 18, 19, 23]. We mention some excellent work on double-phase problems. Colombo and Mingione [8,9] considered the integral functional driven by the double-phase differential operator (3)

$$\int_{\Omega} \left( |\nabla u|^p + a(x) |\nabla u|^q \right) \mathrm{d}x$$

and discussed the regularity (including  $L^{\infty}$  and Hölder continuity) of such operators. In 2018, Liu and Dai [17] proved the existence and multiplicity of solutions for the following double-phase problem:

$$-\operatorname{div}(|\nabla u|^{p-2}\nabla u + a(x)|\nabla u|^{q-2}\nabla u) = f(x,u) \quad \text{in } \Omega,$$
  
$$u = 0 \quad \text{on } \partial\Omega,$$
(4)

where  $\Omega \subset \mathbb{R}^N$  is a bounded domain with Lipschitz boundary,  $N \ge 2$ , 1 < p, q < N. Motivated by problem (4), Gasiński–Winkert [12] investigated the existence and uniqueness of the solution for the following double-phase problem with convection term:

$$-\operatorname{div}(|\nabla u|^{p-2}\nabla u + \mu(x)|\nabla u|^{q-2}\nabla u) = f(x, u, \nabla u) \quad \text{in } \Omega,$$
  
$$u = 0 \quad \text{on } \partial\Omega,$$

where  $1 , <math>\mu : \overline{\Omega} \to [0, \infty)$  is supposed to be Lipschitz-continuous, and  $f : \Omega \times \mathbb{R} \times \mathbb{R}^N \to \mathbb{R}$  is a Carathéodory function (i.e.,  $x \mapsto f(x, s, \xi)$  is measurable for all  $(s, \xi) \in \mathbb{R} \times \mathbb{R}^N$ , and  $(s, \xi) \mapsto f(x, s, \xi)$  is continuous for a.a.  $x \in \Omega$ ). Meanwhile, Papageorgiou et al. [21] used variational methods along with truncation techniques to prove the existence of positive solutions for a class of double-phase problems with singular term. For more details on the research in this direction, we can refer to Liu–Dai [17], Gasiński–Winkert [12], Papageorgiou–Repovš–Vetro [21], Le [15], Arora–Fiscella–Mukherjee–Winkert [2] and Faria–Miyagaki–Motreanu [10], Zeng–Rădulescu [33], and the references therein.

On the other hand, the study of fractional operators has grown considerably in recent years; see [29–31]. This is because it has been used to describe complicated phenomena as diverse as the Lévy diffusion process, flame propagation, continuum mechanics, population dynamics studies, and even game theory; see Applebaum [1], Vazquez [32], Servadei–Valdinoci [24,25], Iannizzoto–Pereira–Squassina [14], and the references therein. We highlight some representative work: Bouabdallah et al. [5] used the Nehari manifold approach and fibre maps to prove a multiplicity result for fractional p-Laplace equations; Sun [26] considered a complex differential equation with variable exponents p-Laplace differential operator and used a fixed point argument and the extension theory of Mawhin's coincidence theory to show the existence of solutions of the differential equation.

The following are important features of problem  $(P_{\tau})$ :

(i) The weight  $\kappa(\cdot)$ , which is discontinuous and not bounded by zero, leads to the invalidity of Lieberman's theory of global regularity [16] and Pucci's nonlinear

strong maximum principle [22] for  $(P_{\tau})$ . Therefore, to overcome such difficulties, our idea is to use the Nehari manifold method.

- (ii) The reaction term of  $(P_{\tau})$  is combined with a singular term and a (p-1)-superlinear parametric perturbation.
- (iii) The energy functional  $\mathcal{E}_{\tau}^{\alpha,\beta;\psi}$  is not  $C^1$  due to the presence of the singular term  $a(\xi)\phi^{-\varpi}$ . This means that the variational method cannot be applied directly.
- (iv)  $(P_{\tau})$  is a generalized double-phase problem, which contains a large class of possible special cases. On the other hand, it should be mentioned that  $\psi(t) = \log_a(t)$  with 0 < a < 1 cannot be used to obtain the results discussed in this paper since  $\psi(t) = \log_a(t)$  is a nonincreasing function and contradicts the conditions of the function  $\psi$  as presented in Section 2.

In this paper, we assume that

- $(\mathbf{Q}_{\kappa}) \ \kappa \in L^{\infty}(\Omega) \text{ and } \kappa(\xi) > 0 \text{ for a.a. } \xi \in \Omega;$
- $(\mathbf{Q}_a) \ a \in L^{\infty}(\Omega) \text{ and } a(\xi) \ge 0 \text{ for a.a. } \xi \in \Omega, \text{ and } a \neq 0.$

In the next sections, we will discuss some critical properties of the energy functional  $\mathcal{E}^{\alpha,\beta;\psi}_{\tau}(\cdot)$  and the Nehari manifold  $\Xi_{\tau}$ ; see below. Then we will prove the main result of this paper, which is given by the following theorem.

**Theorem 1.** If hypotheses  $(Q_{\kappa})$  and  $(Q_{a})$  hold, then there exists  $\hat{\tau}_{0}^{*} > 0$  such that for all  $\tau \in (0, \hat{\tau}_{0}^{*}]$ ,  $(P_{\tau})$  has at least two positive solutions  $\phi^{*}, v^{*} \in \mathcal{H}_{p}^{\alpha,\beta;\psi}(\Omega)$  such that  $\mathcal{E}_{\tau}^{\alpha,\beta;\psi}(\phi^{*}) < 0 < \mathcal{E}_{\tau}^{\alpha,\beta;\psi}(v^{*})$ .

#### 2 Fractional operators and variational setting

We use the symbol  $\mathscr{L}^p(\Omega)$  to represent Lebesgue's function space

$$\mathscr{L}^p(\Omega) = \bigg\{ \phi : \Omega \to \mathbb{R} \text{ is measurable } \Big| \int_{\Omega} |\phi|^p \, \mathrm{d}\xi < +\infty \bigg\}.$$

It is clear that  $\mathscr{L}^p(\Omega)$  endowed with the norm  $\|\phi\| = (\int_{\Omega} |\phi|^p d\xi)^{\frac{1}{p}}$ , becomes a reflexive and separable Banach space.

Let  $0 < \alpha \leq 1$  and  $0 \leq \beta \leq 1$ . Set  $\Lambda = I_1 \times I_2$  with  $I_1 = (0, L]$  and  $I_2 = (0, T]$ , where T, L are two positive constants. Let  $\psi(\cdot)$  be an increasing and positive function on  $I_2$  and have a continuous derivative  $\psi'(\cdot)$  on  $I_2$ . Furthermore, let  $\phi, \psi \in C^n(\Lambda)$  be two functions such that  $\psi$  is increasing and  $\psi'(\xi_2) \neq 0$  for all  $\xi_2 \in I_2$ . The left- and right-sided  $\psi$ -Hilfer fractional partial derivatives of  $\phi \in AC^n(\Lambda)$  of order  $\alpha$  and type  $\beta$ are defined by (see, e.g., [31])

$$\mathfrak{D}_{0+}^{\alpha,\beta;\psi}\phi(\xi_1,\xi_2) = \mathbf{I}_{0+}^{\beta(1-\alpha),\psi} \left(\frac{1}{\psi'(\xi_2)}\frac{\partial}{\partial\xi_2}\right) \mathbf{I}_{0+}^{(1-\beta)(1-\alpha),\psi}\phi(\xi_1,\xi_2)$$

and

$$\mathfrak{D}_T^{\alpha,\beta;\psi}\phi(\xi_1,\xi_2) = \mathbf{I}_T^{\beta(1-\alpha),\psi} \left( -\frac{1}{\psi'(\xi_2)} \frac{\partial}{\partial \xi_2} \right) \mathbf{I}_T^{(1-\beta)(1-\alpha),\psi}\phi(\xi_1,\xi_2)$$

for  $\xi_1 \in I_1$  and  $\xi_2 \in I_2$ , where  $\mathbf{I}_{0+}^{\alpha,\psi}\phi(\xi_1,\xi_2)$  and  $\mathbf{I}_T^{\alpha,\psi}\phi(\xi_1,\xi_2)$  are the left- and rightsided  $\psi$ -Riemann–Liouville fractional integrals of  $\phi \in \mathscr{L}^1(\Lambda)$  of order  $\alpha$  given by (see, e.g., [31])

$$\mathbf{I}_{0+}^{\alpha,\psi}\phi(\xi_1,\xi_2) = \frac{1}{\Gamma(\alpha)} \int_{0}^{\xi_2} \left(\psi(\xi_2) - \psi(s)\right)^{\alpha-1} \phi(\xi_1,s) \, \mathrm{d}s$$

and

$$\mathbf{I}_{T}^{\alpha,\psi}\phi(\xi_{1},\xi_{2}) = \frac{1}{\Gamma(\alpha)} \int_{\xi_{2}}^{T} \left(\psi(s) - \psi(\xi_{2})\right)^{\alpha_{2}-1} \phi(\xi_{1},s) \,\mathrm{d}s$$

for all  $\xi_1 \in I_1$  and  $\xi_2 \in I_2$ .

It is not difficult to see that the following identity holds for the  $\psi$ -Riemann–Liouville fractional integral:

$$\int_{0}^{T} \left( \mathbf{I}_{0+}^{\alpha;\psi} \varphi(\xi_{1},\xi_{2}) \right) \phi(\xi_{1},\xi_{2}) \, \mathrm{d}\xi_{2} = \int_{0}^{T} \varphi(\xi_{1},\xi_{2}) \psi'(\xi_{2}) \mathbf{I}_{T}^{\alpha;\psi} \left( \frac{\phi(\xi_{1},\xi_{2})}{\psi'(\xi_{2})} \right) \, \mathrm{d}\xi_{2}$$

On the other hand, if  $\psi(\cdot)$  is an increasing and positive monotone function on [0, T] such that  $\psi'(\cdot) \neq 0$  is continuous on (0, T), then, with  $0 < \alpha \leq 1$  and  $0 \leq \beta \leq 1$ ,

$$\int_{0}^{T} \left(\mathfrak{D}_{\theta}^{\alpha,\beta;\psi}\varphi(\xi_{1},\xi_{2})\right)\phi(\xi_{1},\xi_{2})\,\mathrm{d}\xi_{2} = \int_{0}^{T} \varphi(\xi_{1},\xi_{2})\psi'(\xi_{2})\mathfrak{D}_{T}^{\alpha,\beta;\psi}\left(\frac{\phi(\xi_{1},\xi_{2})}{\psi'(\xi_{2})}\right)\mathrm{d}\xi_{2}$$

for any  $\varphi \in AC^1(I_1 \times I_2)$  and  $\phi \in C^1(I_1 \times I_2)$  satisfying the boundary conditions  $\varphi(0,0) = 0 = \varphi(L,T)$ .

The basic function space in this paper is the  $\psi$ -fractional space given by

$$\mathcal{H}_{p}^{\alpha,\beta;\psi}(\varOmega) = \left\{ \phi \in \mathscr{L}^{p}(\varOmega) \colon \left| {}^{\mathrm{H}}\mathfrak{D}_{0+}^{\alpha,\beta;\psi}\phi \right| \in \mathscr{L}^{p}(\varOmega) \right\}$$

with the norm

$$\|\phi\| = \|\phi\|_{\mathcal{H}_{p}^{\alpha,\beta;\psi}(\Omega)} = \|\phi\|_{\mathscr{L}^{p}(\Omega)} + \left\|^{\mathrm{H}} \mathbf{D}_{0+}^{\alpha,\beta;\psi}\phi\right\|_{\mathscr{L}^{p}(\Omega)}.$$

**Proposition 1.** The spaces  $\mathscr{L}^p(\Omega)$  and  $\mathcal{H}_p^{\alpha,\beta;\psi}(\Omega)$  are separable and reflexive Banach spaces. Moreover,  $C_0^{\infty}(\Omega)$  is dense in  $\mathcal{H}_n^{\alpha,\beta;\psi}(\Omega)$ .

Furthermore, for any  $\tau > 0$ , we consider the Nehari manifold associated with (P<sub> $\tau$ </sub>) as follows:

$$\Xi_{\tau} = \left\{ \phi \in \mathcal{H}_{p}^{\alpha,\beta;\psi}(\Omega) \colon \left\| \mathfrak{D}_{0^{+}}^{\alpha,\beta;\psi} \phi \right\|_{p}^{p} \int_{\Omega} \kappa(\xi) \left| \mathfrak{D}_{0^{+}}^{\alpha,\beta;\psi} \phi \right|^{q} \mathrm{d}\xi \right.$$
$$= \int_{\Omega} a(\xi) |\phi|^{1-\varpi} \, \mathrm{d}\xi + \tau \|\phi\|_{r}^{r}, \ \phi \neq 0 \left. \right\}.$$

We also decompose  $\Xi_{\tau}$  into three disjoint parts:

$$\begin{split} \boldsymbol{\Xi}_{\tau}^{+} &= \bigg\{ \boldsymbol{\phi} \in \boldsymbol{\Xi}_{\tau} \colon (p + \boldsymbol{\varpi} - 1) \big\| \boldsymbol{\mathfrak{D}}_{0^{+}}^{\alpha,\beta;\psi} \boldsymbol{\phi} \big\|_{p}^{p} \\ &+ (q + \boldsymbol{\varpi} - 1) \int_{\Omega} \kappa(\xi) \big| \boldsymbol{\mathfrak{D}}_{0^{+}}^{\alpha,\beta;\psi} \boldsymbol{\phi} \big|^{q} \, \mathrm{d}\boldsymbol{\xi} > \tau(r + \boldsymbol{\varpi} - 1) \big\| \boldsymbol{\phi} \big\|_{r}^{r} \bigg\}, \\ \boldsymbol{\Xi}_{\tau}^{0} &= \bigg\{ \boldsymbol{\phi} \in \boldsymbol{\Xi}_{\tau} \colon (p + \boldsymbol{\varpi} - 1) \big\| \boldsymbol{\mathfrak{D}}_{0^{+}}^{\alpha,\beta;\psi} \boldsymbol{\phi} \big\|_{p}^{p} \\ &+ (q + \boldsymbol{\varpi} - 1) \int_{\Omega} \kappa(\xi) \big| \boldsymbol{\mathfrak{D}}_{0^{+}}^{\alpha,\beta;\psi} \boldsymbol{\phi} \big|^{q} \, \mathrm{d}\boldsymbol{\xi} = \tau(r + \boldsymbol{\varpi} - 1) \big\| \boldsymbol{\phi} \big\|_{r}^{r} \bigg\}, \end{split}$$

and

$$\begin{split} \mathbf{\Xi}_{\tau}^{-} &= \bigg\{ \phi \in \mathbf{\Xi}_{\tau} \colon (p + \varpi - 1) \big\| \mathfrak{D}_{0^{+}}^{\alpha, \beta; \psi} \phi \big\|_{p}^{p} \\ &+ (q + \varpi - 1) \int_{\Omega} \kappa(\xi) \big| \mathfrak{D}_{0^{+}}^{\alpha, \beta; \psi} \phi \big|^{q} \, \mathrm{d}\xi < \tau (r + \varpi - 1) \| \phi \|_{r}^{r} \bigg\}. \end{split}$$

#### 3 Main results

In this section, we give a detailed proof of our main theorem, Theorem 1. The strategy is based on the Nehari manifold and variational techniques.

To do this, we need the following propositions.

**Proposition 2.** If hypotheses  $(Q_{\kappa})$  and  $(Q_a)$  hold, then for all  $\tau > 0$ , the energy functional  $\mathcal{E}_{\tau}^{\alpha,\beta;\psi}(\cdot)$ , given in (1), is coercive on  $\Xi_{\tau}$ .

*Proof.* Let  $\phi \in \Xi_{\tau}$ , we have

$$\begin{aligned} &-\frac{1}{r} \left\| \mathfrak{D}_{0^+}^{\alpha,\beta;\psi} \phi \right\|_p^p - \frac{1}{r} \int_{\Omega} \kappa(\xi) \left| \mathfrak{D}_{0^+}^{\alpha,\beta;\psi} \phi \right|^q \mathrm{d}\xi + \frac{1}{r} \int_{\Omega} a(\xi) |\phi|^{1-\varpi} \, \mathrm{d}\xi \\ &+ \frac{\tau}{r} \|\phi\|_r^r = 0. \end{aligned}$$

It follows that

$$\begin{split} \mathcal{E}_{\tau}^{\alpha,\beta;\psi}(\phi) &= \left(\frac{1}{p} - \frac{1}{r}\right) \left\|\mathfrak{D}_{0^+}^{\alpha,\beta;\psi}\phi\right\|_p^p + \left(\frac{1}{q} - \frac{1}{r}\right) \int_{\Omega} \kappa(\xi) \left|\mathfrak{D}_{0^+}^{\alpha,\beta;\psi}\phi\right|^q \mathrm{d}\xi \\ &+ \left(\frac{1}{r} - \frac{1}{1 - \varpi}\right) \int_{\Omega} a(\xi) |\phi|^{1 - \varpi} \, \mathrm{d}\xi. \end{split}$$

Since q , so, we apply the Poincaré inequality and the Sobolev embedding theorem to get

$$\mathcal{E}^{\alpha,\beta;\psi}_{\tau}(\phi) \ge C_1 \|\phi\|^p - C_2 \|\phi\|^{1-\varpi} \quad \text{for some } C_1, C_2 > 0.$$

The latter, combined with  $p > 1 > 1 - \varpi$ , implies that  $\mathcal{E}^{\alpha,\beta;\psi}_{\tau}|_{\Xi_{\tau}}$  is coercive.

Set  $\mathfrak{M}^+_{\tau} := \inf_{\Xi^+} \mathcal{E}^{\alpha,\beta;\psi}_{\tau}$ .

**Proposition 3.** Under hypotheses  $(Q_{\kappa})$  and  $(Q_a)$ , if  $\Xi_{\tau}^+ \neq \emptyset$ , then  $\mathfrak{M}_{\tau}^+ < 0$ .

*Proof.* For any  $\phi \in \Xi_{\tau}^+$ , one has

$$\tau \|\phi\|_{r}^{r} < \frac{p+\varpi-1}{r+\varpi-1} \left\|\mathfrak{D}_{0^{+}}^{\alpha,\beta;\psi}\phi\right\|_{p}^{p} + \frac{q+\varpi-1}{r+\varpi-1} \int_{\Omega} \kappa(\xi) \left|\mathfrak{D}_{0^{+}}^{\alpha,\beta;\psi}\phi\right|^{q} \mathrm{d}\xi.$$
(5)

By the fact  $\Xi_{\tau}^+ \subset \Xi_{\tau}$ , we get

$$-\frac{1}{1-\varpi}\int_{\Omega}a(\xi)|\phi|^{1-\varpi}\,\mathrm{d}\xi = -\frac{1}{1-\varpi}\|\mathfrak{D}_{0^{+}}^{\alpha,\beta;\psi}\phi\|_{p}^{p} - \frac{1}{1-\varpi}\int_{\Omega}\kappa(\xi)|\mathfrak{D}_{0^{+}}^{\alpha,\beta;\psi}\phi|^{q}\,\mathrm{d}\xi + \frac{\tau}{1-\varpi}\|\phi\|_{r}^{r}.$$
(6)

Because of q , it follows from (5) and (6) that

$$\begin{split} \mathcal{E}_{\tau}^{\alpha,\beta;\psi}(\phi) &= \frac{1}{p} \big\| \mathfrak{D}_{0^{+}}^{\alpha,\beta;\psi} \phi \big\|_{p}^{p} \\ &+ \frac{1}{q} \int_{\Omega} \kappa(\xi) \big| \mathfrak{D}_{0^{+}}^{\alpha,\beta;\psi} \phi \big|^{q} \, \mathrm{d}\xi - \frac{\tau}{r} \big\| \phi \big\|_{r}^{r} - \frac{1}{1-\varpi} \int_{\Omega} a(\xi) \big| \phi \big|^{1-\varpi} \, \mathrm{d}\xi \\ &= \left( \frac{1}{p} - \frac{1}{1-\varpi} \right) \big\| \mathfrak{D}_{0^{+}}^{\alpha,\beta;\psi} \phi \big\|_{p}^{p} + \left( \frac{1}{q} - \frac{1}{1-\varpi} \right) \int_{\Omega} \kappa(\xi) \big| \mathfrak{D}_{0^{+}}^{\alpha,\beta;\psi} \phi \big|^{q} \, \mathrm{d}\xi \\ &+ \tau \left( \frac{1}{1-\varpi} - \frac{1}{r} \right) \big\| \phi \big\|_{r}^{r} \\ &\leqslant \left[ -\frac{(p+\varpi-1)}{p(1-\varpi)} + \frac{(p+\varpi-1)(r+\varpi-1)}{(r+\varpi-1)(r(1-\varpi))} \right] \big\| \mathfrak{D}_{0^{+}}^{\alpha,\beta;\psi} \phi \big\|_{p}^{p} \\ &+ \left[ -\frac{(q+\varpi-1)}{q(1-\varpi)} + \frac{(q+\varpi-1)(r+\varpi-1)}{(r+\varpi-1)(r(1-\varpi))} \right] \int_{\Omega} \kappa(\xi) \big| \mathfrak{D}_{0^{+}}^{\alpha,\beta;\psi} \phi \big|^{q} \, \mathrm{d}\xi \\ &= \frac{p+\varpi-1}{1-\varpi} \left( \frac{1}{r} - \frac{1}{p} \right) \big\| \mathfrak{D}_{0^{+}}^{\alpha,\beta;\psi} \phi \big\|_{p}^{p} \\ &+ \frac{q+\varpi-1}{1-\varpi} \left( \frac{1}{r} - \frac{1}{q} \right) \int_{\Omega} \kappa(\xi) \big| \mathfrak{D}_{0^{+}}^{\alpha,\beta;\psi} \phi \big|^{q} \, \mathrm{d}\xi \\ &< 0. \end{split}$$

This means that  $\mathcal{E}_{\tau}^{\alpha,\beta;\psi}(\phi) < 0$  for all  $\phi \in \Xi_{\tau}^+$ , so, we get  $\mathfrak{M}_{\tau}^+ < 0$ .

**Proposition 4.** If hypotheses  $(Q_{\kappa})$  and  $(Q_a)$  hold, then there exists  $\tau^* > 0$  such that  $\Xi_{\tau}^0 = \emptyset$  for all  $\tau \in (0, \tau^*)$ .

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*Proof.* To argue by contradiction, suppose  $\Xi_{\tau}^{0} \neq \emptyset$ . So, for each  $\phi \in \Xi_{\tau}^{0}$ , we have

$$(p+\varpi-1)\left\|\mathfrak{D}_{0^{+}}^{\alpha,\beta;\psi}\phi\right\|_{p}^{p}+(q+\varpi-1)\int_{\Omega}\kappa(\xi)\left|\mathfrak{D}_{0^{+}}^{\alpha,\beta;\psi}\phi\right|^{q}\mathrm{d}\xi$$
$$=\tau(r+\varpi-1)\left\|\phi\right\|_{r}^{r}.$$
(7)

Also, it holds

$$(r+\varpi-1)\left\|\mathfrak{D}_{0^{+}}^{\alpha,\beta;\psi}\phi\right\|_{p}^{p} + (r+\varpi-1)\int_{\Omega}\kappa(\xi)\left|\mathfrak{D}_{0^{+}}^{\alpha,\beta;\psi}\phi\right|^{q}\mathrm{d}\xi - (r+\varpi-1)\int_{\Omega}a(\xi)|\phi|^{1-\varpi}\,\mathrm{d}\xi$$
$$= \tau(r+\varpi-1)\left\|\phi\right\|_{r}^{r}.$$
(8)

Using (7) and (8), one has

$$(r-p) \left\| \mathfrak{D}_{0+}^{\alpha,\beta;\psi} \phi \right\|_{p}^{p} + (r-q) \int_{\Omega} \kappa(\xi) \left| \mathfrak{D}_{0+}^{\alpha,\beta;\psi} \phi \right|^{q} \mathrm{d}\xi$$
$$= (r+\varpi-1) \int_{\Omega} a(\xi) |\phi|^{1-\varpi} \, \mathrm{d}\xi.$$

This means that  $\|\phi\|^p \leq C_3 \|\phi\|$  for some  $C_3 > 0$ , namely,

$$\|\phi\|^{p-1} \leqslant C_3. \tag{9}$$

Applying (7) and the Sobolev embedding theorem, we get

$$\|\phi\|^p \leq \tau C_4 \|\phi\|^r$$
 for some  $C_4 > 0$ .

Hence,

$$\left(\frac{1}{\tau C_4}\right)^{\frac{1}{r-p}} \leqslant \|\phi\|.$$

Letting  $\tau \to 0^+$  yields  $\|\phi\| \to +\infty$ . This contradicts with (9). Therefore, we conclude that there exists  $\tau^* > 0$  such that  $\Xi^0_{\tau} = \emptyset$  for all  $\tau \in (0, \tau^*)$ .

Let  $\phi \in \mathcal{H}_p^{\alpha,\beta;\psi}(\Omega)$  be fixed. We also consider the functional  $\widehat{\Theta}_{\phi}: (0,\infty) \to \mathbb{R}$  given by

$$\widehat{\Theta}_{\phi}(t) = t^{p-r} \left\| \mathfrak{D}_{0^+}^{\alpha,\beta;\psi} \phi \right\|_p^p - t^{-r-\varpi+1} \int_{\Omega} a(\xi) |\phi|^{1-\varpi} \,\mathrm{d}\xi \quad \text{for all } t > 0.$$

Since  $r - p < r + \varpi - 1$ , so, it is not difficult to see that there exists  $\hat{t}_0 > 0$  such that  $\widehat{\Theta}_{\phi}(\hat{t}_0) = \max_{t>0} \widehat{\Theta}_{\phi}(t).$ 

So, we have  $\widehat{\Theta}_{\phi}'(\widehat{t}_0)=0,$  which means that

$$(p-r)\hat{t}_{0}^{p-r-1} \left\| \mathfrak{D}_{0+}^{\alpha,\beta;\psi} \phi \right\|_{p}^{p} + (r+\varpi-1)\hat{t}_{0}^{-r-\varpi} \int_{\Omega} a(\xi) |\phi|^{1-\varpi} \,\mathrm{d}\xi = 0.$$

This gives

$$\hat{t}_0 = \left(\frac{(r+\varpi-1)\int_{\Omega} a(\xi)|\phi|^{1-\varpi} \,\mathrm{d}\xi}{(r-p)\|\mathfrak{D}_{0^+}^{\alpha,\beta;\psi}\phi\|_p^p}\right)^{\frac{1}{p+\varpi-1}}.$$

Therefore, we have

$$\begin{split} \widehat{\Theta}_{\phi}(\widehat{t}_{0}) &= \frac{((r-p)\|\mathfrak{D}_{0^{+}}^{\alpha,\beta;\psi}\phi\|_{p}^{p})^{\frac{r-p}{p+\varpi-1}}}{((r+\varpi-1)\int_{\Omega}a(\xi)|\phi|^{1-\varpi}\,\mathrm{d}\xi)^{\frac{r-p}{p+\varpi-1}}} \|\mathfrak{D}_{0^{+}}^{\alpha,\beta;\psi}\phi\|_{p}^{p} \\ &- \frac{((r-p)\|\mathfrak{D}_{0^{+}}^{\alpha,\beta;\psi}\phi\|_{p}^{p})^{\frac{r-p}{p+\varpi-1}}}{((r+\varpi-1)\int_{\Omega}a(\xi)|\phi|^{1-\varpi}\,\mathrm{d}\xi)^{\frac{r-p}{p+\varpi-1}}} \int_{\Omega}a(\xi)|\phi|^{1-\varpi}\,\mathrm{d}\xi \\ &= \frac{(r-p)^{\frac{r-p}{p+\varpi-1}}\|\mathfrak{D}_{0^{+}}^{\alpha,\beta;\psi}\phi\|_{p}^{\frac{p(r+\varpi-1)}{p+\varpi-1}}}{((r+\varpi-1)\int_{\Omega}a(\xi)|\phi|^{1-\varpi}\,\mathrm{d}\xi)^{\frac{r-p}{p+\varpi-1}}} \\ &- \frac{(r-p)^{\frac{r+\varpi-1}{p+\varpi-1}}\|\mathfrak{D}_{0^{+}}^{\alpha,\beta;\psi}\phi\|_{p}^{\frac{p(r+\varpi-1)}{p+\varpi-1}}}{((r+\varpi-1)\int_{\Omega}a(\xi)|\phi|^{1-\varpi}\,\mathrm{d}\xi)^{\frac{r-p}{p+\varpi-1}}} \\ &= \frac{p+\varpi-1}{r-p} \left(\frac{r-p}{r+\varpi-1}\right)^{\frac{r+\varpi-1}{p+\varpi-1}} \frac{\|\mathfrak{D}_{0^{+}}^{\alpha,\beta;\psi}\phi\|_{p}^{\frac{p(r+\varpi-1)}{p+\varpi-1}}}{(\int_{\Omega}a(\xi)|\phi|^{1-\varpi}\,\mathrm{d}\xi)^{\frac{r-p}{p+\varpi-1}}}. \end{split}$$

Let S be the best Sobolev constant that satisfies the inequality

$$S \|\phi\|_{p_{\alpha}^{*}}^{p} \leqslant \left\|\mathfrak{D}_{0^{+}}^{\alpha,\beta;\psi}\phi\right\|_{p}^{p}.$$
(10)

Also, by Hölder inequality, we obtain

$$\int_{\Omega} a(\xi) |\phi|^{1-\varpi} \,\mathrm{d}\xi \,\leqslant C_5 \|\phi\|_{p_{\alpha}^*}^{1-\varpi} \quad \text{for some } C_5 > 0.$$
(11)

On the other hand, using inequalities (10), (11) and  $r < p^*_{\alpha}$ , it follows that

$$\begin{split} \widehat{\Theta}_{\phi}(\widehat{t}_{0}) &- \tau \|\phi\|_{r}^{r} \\ &= \frac{p + \varpi - 1}{r - p} \left(\frac{r - p}{r + \varpi - 1}\right)^{\frac{r + \varpi - 1}{p + \varpi - 1}} \frac{\|\mathfrak{D}_{0^{+}}^{\alpha, \beta; \psi}\phi\|_{p}^{\frac{p(r + \varpi - 1)}{p + \varpi - 1}}}{(\int_{\Omega} a(\xi)|\phi|^{1 - \varpi} \,\mathrm{d}\xi)^{\frac{r - p}{p + \varpi - 1}}} - \tau \|\phi\|_{r}^{r} \\ &\geq \frac{p + \varpi - 1}{r - p} \left(\frac{r - p}{r + \varpi - 1}\right)^{\frac{r + \varpi - 1}{p + \varpi - 1}} \frac{S^{\frac{p(r + \varpi - 1)}{p + \varpi - 1}}(\|\phi\|_{p_{\alpha}^{*}}^{p})^{\frac{p(r + \varpi - 1)}{p + \varpi - 1}}}{(C_{5}\|\phi\|_{p_{\alpha}^{*}}^{1 - \infty})^{\frac{r - p}{p + \varpi - 1}}} - \tau C_{6}\|\phi\|_{p_{\alpha}^{*}}^{r} \\ &= [C_{7} - \tau C_{6}]\|\phi\|_{p_{\alpha}^{*}}^{r} \quad \text{for some } C_{6}, C_{7} > 0. \end{split}$$

This indicates that, independently of  $\phi,$  there exists  $\hat{\tau}^* \in (0,\tau^*)$  such that

$$\widehat{\Theta}_{\phi}(\widehat{t}_0) - \tau \|\phi\|_r^r > 0 \quad \text{for all } \tau \in (0, \widehat{\tau}^*).$$
(12)

**Proposition 5.** If hypotheses  $(Q_{\kappa})$  and  $(Q_a)$  hold, then there exists  $\hat{\tau}^* \in (0, \tau^*)$  such that for every  $\tau \in (0, \hat{\tau}^*)$ , we can find  $\phi^* \in \Xi_{\tau}^+$  with  $\phi^*(\xi) \ge 0$  for a.a.  $\xi \in \Omega$  such that  $\mathcal{E}_p^{\alpha,\beta;\psi}(\phi^*) = \mathfrak{M}_{\tau}^+ < 0$ .

*Proof.* Let  $\phi \in \mathcal{H}_p^{\alpha,\beta;\psi}(\Omega)$  be fixed and consider the map  $\Theta_{\phi}: (0,+\infty) \to \mathbb{R}$  given by

$$\begin{aligned} \Theta_{\phi}(t) &= t^{p-r} \left\| \mathfrak{D}_{0^{+}}^{\alpha,\beta;\psi} \phi \right\|_{p}^{p} + t^{q-r} \int_{\Omega} \kappa(\xi) \left| \mathfrak{D}_{0^{+}}^{\alpha,\beta;\psi} \phi \right|^{q} \mathrm{d}\xi \\ &- t^{-r-\varpi+1} \int_{\Omega} a(\xi) |\phi|^{1-\varpi} \, \mathrm{d}\xi \quad \text{for all } t > 0. \end{aligned}$$

Remember that  $r - p < r - q < r + \varpi - 1$ , and we can find  $t_0 > 0$  such that

$$\Theta_{\phi}(t_0) = \max_{t>0} \Theta_{\phi}(t).$$

Obviously, we have  $\Theta_{\phi}(t) \ge \widehat{\Theta}_{\phi}(t)$  for all t > 0. So, from inequality (12) we can take  $\hat{\tau}^* \in (0, \tau^*)$  such that

$$\Theta_{\phi}(t_0) - \tau \|\phi\|_r^r > 0 \quad \text{for all } \tau \in (0, \hat{\tau}^*).$$

So, there are  $t_1, t_2 > 0$  with  $t_1 < t_0 < t_2$  such that

$$\Theta_{\phi}(t_1) = \tau \|\phi\|_r^r = \Theta_{\phi}(t_2) \quad \text{and} \quad \Theta_{\phi}'(t_2) < 0 < \Theta_{\phi}'(t_1).$$
(13)

This indicates that  $t_1\phi \in \Xi_{\tau}^+$  and  $t_2\phi \in \Xi_{\tau}^-$ . So, for all  $\tau \in (0, \tau^*)$ , we have  $\Xi_{\tau}^{\pm} \neq \emptyset$ , while  $\Xi_{\tau}^0 = \emptyset$ ; see Proposition 4.

Let  $\{\phi_n\}_{n \ge 1} \subseteq \Xi_{\tau}^+$  be a minimizing sequence of  $\mathfrak{M}_{\tau}^+ := \inf_{\Xi_{\tau}^+} \mathcal{E}_{\tau}^{\alpha,\beta;\psi}$ , i.e.,

$$\mathcal{E}^{\alpha,\beta;\psi}_{\tau}(\phi_n) \to \mathfrak{M}^+_{\tau} \quad \text{as } n \to \infty.$$

Using Proposition 2 and  $\Xi_{\tau}^+ \subseteq \Xi_{\tau}$ , we have that  $\{\phi_n\}_{n \ge 1} \subseteq \mathcal{H}_p^{\alpha,\beta;\psi}(\Omega)$  is bounded. Then, without loss of generality, there exists  $\phi^* \in \mathcal{H}_p^{\alpha,\beta;\psi}(\Omega)$  such that

$$\phi_n \rightharpoonup \phi^* \quad \text{on } \mathcal{H}_p^{\alpha,\beta;\psi}(\varOmega) \quad \text{and} \quad \phi_n \to \phi^* \quad \text{in } \mathscr{L}^r(\varOmega)$$

Let  $\Theta_{\phi^*}$  and  $t_1 < t_0$  be as in (13) with  $\phi = \phi^*$ . Then we have  $t_1 \phi^* \in \Xi_{\tau}^+$ .

**Claim 1.**  $\phi_n \to \phi^*$  on  $\mathcal{H}_p^{\alpha,\beta;\psi}(\Omega)$  as  $n \to \infty$ .

If the statement is not true, then we can assume that  $\phi_n \not\rightarrow \phi^*$  in  $\mathcal{H}_p^{\alpha,\beta;\psi}(\Omega)$ . Hence,

$$\liminf_{n \to \infty} \left\| \mathfrak{D}_{0^+}^{\alpha,\beta;\psi} \phi_n \right\|_p^p > \left\| \mathfrak{D}_{0^+}^{\alpha,\beta;\psi} \phi^* \right\|_p^p.$$
(14)

For any fixed  $\phi \in \mathcal{H}_p^{\alpha,\beta;\psi}(\Omega)$ , let us consider the fibering function  $\mu_{\phi}:(0,\infty) \to \mathbb{R}$  defined by

$$\mu_{\phi}(t) = \mathcal{E}_{\tau}^{\alpha,\beta;\psi}(t\phi) \quad \text{for all } t > 0.$$

#### Using (13) and (14), we get

$$\begin{split} \liminf_{n \to \infty} \mu_{\phi_n}'(t_1) &= \liminf_{n \to \infty} \left[ t_1^{p-1} \left\| \mathfrak{D}_{0^+}^{\alpha,\beta;\psi} \phi_n \right\|_p^p + t_1^{q-1} \int_{\Omega} \kappa(\xi) \left| \mathfrak{D}_{0^+}^{\alpha,\beta;\psi} \phi_n \right|_q^q \mathrm{d}\xi \right. \\ &- t_1^{-\varpi} \int_{\Omega} a(\xi) |\phi_n|^{1-\varpi} - \tau t_1^{r-1} \|\phi_n\|_r^r \right] \\ &> t_1^{p-1} \left\| \mathfrak{D}_{0^+}^{\alpha,\beta;\psi} \phi^* \right\|_p^p + t_1^{q-1} \int_{\Omega} \kappa(\xi) \left| \mathfrak{D}_{0^+}^{\alpha,\beta;\psi} \phi^* \right|_q^q \mathrm{d}\xi \\ &- t_1^{-\varpi} \int_{\Omega} a(\xi) |\phi^*|^{1-\varpi} - \tau t_1^{r-1} \|\phi^*\|_r^r \\ &= \mu_{\phi^*}'(t_1) = 0. \end{split}$$
(15)

On the other hand, it follows from (15) that there is  $n_0 \in \mathbb{N}$  such that

$$\mu'_{\phi_n}(t_1) > 0 \quad \text{for all } n \ge n_0.$$

Since  $\phi_n \in \Xi_{\tau}^+ \subseteq \Xi_{\tau}$  and  $\mu'_{\phi_n}(t) = t^{r-1}(\Theta_{\phi_n}(t) - \tau \|\phi_n\|_r^r)$ , we have

 $\mu_{\phi_n}'(t)<0\quad\text{for all }t\in(0,1)\quad\text{and}\quad\mu_{\phi_n}'(1)=0.$ 

This implies that  $t_1 > 1$ . But from the fact that  $\mu'_{\phi^*}(t_1) = 0$  we can see that  $\mu_{\phi^*}$  is decreasing in  $(1, t_1)$ . So, it holds that

$$\mathcal{E}^{\alpha,\beta;\psi}_{\tau}(t_1\phi^*) \leqslant \mathcal{E}^{\alpha,\beta;\psi}_{\tau}(\phi^*) < \mathfrak{M}^+_{\tau},$$

where the last inequality is given by (14). Note that  $t_1\phi^* \in \Xi_{\tau}^+$  (since  $\mu'_{\phi^*}(t_1) = t_1^{r-1}(\Theta_{\phi^*}(t_1) - \tau \|\phi_n\|_r^r) = 0$  and  $\phi_* \in \Xi_{\tau}$ ), we get

$$\mathfrak{M}_{\tau}^{+} \leqslant \mathcal{E}_{\tau}^{\alpha,\beta;\psi}(t_{1}\phi^{*}) < \mathfrak{M}_{\tau}^{+}.$$

This leads to a contradiction. Therefore, Claim 1 is valid, and

$$\phi_n \to \phi^* \quad \text{in } \mathcal{H}_p^{\alpha,\beta;\psi}(\Omega),$$
$$\lim_{n \to \infty} \mathcal{E}_{\tau}^{\alpha,\beta;\psi}(\phi_n) = \mathcal{E}_{\tau}^{\alpha,\beta;\psi}(\phi^*), \quad \text{and} \quad \mathcal{E}_{\tau}^{\alpha,\beta;\psi}(\phi^*) = \mathfrak{M}_{\tau}^+.$$

Since  $\phi_n \in \Xi_{\tau}^+$ , for all  $n \in \mathbb{N}$ , it follows that

$$(p+\varpi-1) \left\| \mathfrak{D}_{0+}^{\alpha,\beta;\psi} \phi_n \right\|_p^p + (q+\varpi-1) \int_{\Omega} \kappa(\xi) \left| \mathfrak{D}_{0+}^{\alpha,\beta;\psi} \phi_n \right|^q \mathrm{d}\xi$$
$$> \tau(r+\varpi-1) \left\| \phi_n \right\|_r^r.$$

Hence,

$$(p+\varpi-1) \left\| \mathfrak{D}_{0^{+}}^{\alpha,\beta;\psi} \phi^{*} \right\|_{p}^{p} + (q+\varpi-1) \int_{\Omega} \kappa(\xi) \left| \mathfrak{D}_{0^{+}}^{\alpha,\beta;\psi} \phi^{*} \right|^{q} \mathrm{d}\xi$$
  
$$\geq \tau(r+\varpi-1) \left\| \phi^{*} \right\|_{r}^{r}.$$
(16)

From (4) we know that  $\Xi_{\tau}^0 = \emptyset$ . Then (16) is a strict inequality, so,  $\phi^* \in \Xi_{\tau}^+$ . Obviously, we can replace  $\phi^*$  by  $|\phi^*|$ , and so, we can say that  $\phi^*(\xi) \ge 0$  for a.a.  $\xi \in \Omega$ .

For any  $\varepsilon > 0$ , let us consider the open ball

$$B_{\varepsilon}(0) = \left\{ w \in \mathcal{H}_{p}^{\alpha,\beta;\psi}(\Omega) \mid \| w \| < \varepsilon \right\}.$$

**Lemma 1.** If hypotheses  $(\mathbf{Q}_{\kappa})$  and  $(\mathbf{Q}_{a})$  hold and  $\phi \in \Xi_{\tau}^{\pm}$ , then there exists  $\varepsilon > 0$  and a continuous function  $\tilde{\beta} : B_{\varepsilon}(0) \to \mathbb{R}_{+}$  such that  $\tilde{\beta}(0) = 1$  and  $\tilde{\beta}(w)(\phi + w) \in \Xi_{\tau}^{+}$  for all  $w \in B_{\varepsilon}(0)$ .

*Proof.* We will only prove the case  $w \in \Xi_{\tau}^+$  because the same arguments can be used to prove the case  $\phi \in \Xi_{\tau}^-$ .

Consider the functional  $\mathcal{E}: \mathcal{H}_p^{\alpha,\beta;\psi}(\Omega) \times \mathbb{R} \to \mathbb{R}$  given by

$$\begin{aligned} \mathcal{E}(w,t) &= t^{p+\varpi-1} \left\| \mathfrak{D}_{0^+}^{\alpha,\beta;\psi}(\phi+w) \right\|_p^p + t^{q+\varpi-1} \int_{\Omega} \kappa(\xi) \left| \mathfrak{D}_{0^+}^{\alpha,\beta;\psi}(\phi+w) \right|^q \mathrm{d}\xi \\ &- \int_{\Omega} a(\xi) |\phi+w|^{1-\varpi} \, \mathrm{d}\xi - \tau t^{r+\varpi-1} \|\phi+w\|_r^r \quad \text{for all } w \in \mathcal{H}_p^{\alpha,\beta;\psi}(\Omega). \end{aligned}$$

Since  $\Xi_{\tau}^+ \subseteq \Xi$ , we have  $\mathcal{E}(0,1) = 0$ . Furthermore, for any fixed  $\phi \in \Xi_{\tau}^+$ , we have

$$\mathcal{E}'_t(0,1) = (p+\varpi-1) \left\| \mathfrak{D}_{0^+}^{\alpha,\beta;\psi} \phi^* \right\|_p^p + (q+\varpi-1) \int_{\Omega} \kappa(\xi) \left| \mathfrak{D}_{0^+}^{\alpha,\beta;\psi} \phi^* \right|^q \mathrm{d}\xi$$
$$-\tau(r+\varpi-1) \left\| \phi^* \right\|_r^r > 0.$$

We are now able to use the implicit function theorem to find  $\varepsilon > 0$  and a continuous function  $\tilde{\beta} : B_{\varepsilon}(0) \to \mathbb{R}_+$  such that

$$\tilde{\beta}(0) = 1, \quad \tilde{\beta}(w)(w + \phi) \in \Xi_{\tau} \quad \text{for all } w \in B_{\varepsilon}(0).$$

Furthermore, we can make  $\varepsilon > 0$  small enough so that

$$\hat{\beta}(w)(w+\phi) \in \Xi_{\tau}^+$$
 for all  $w \in B_{\varepsilon}(0)$ .

This completes the proof of the lemma.

**Proposition 6.** If hypotheses  $(\mathbf{Q}_{\kappa})$  and  $(\mathbf{Q}_{a})$  hold,  $\tau \in (0, \hat{\tau}^{*}]$ , and  $h \in \mathcal{H}_{p}^{\alpha,\beta,;\psi}(\Omega)$ , then we can find b > 0 such that  $\mathcal{E}_{p}^{\alpha,\beta,;\psi}(\phi^{*}) \leq \mathcal{E}_{p}^{\alpha,\beta,;\psi}(\phi^{*} + th)$  for all  $t \in [0, b]$ .

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*Proof.* Consider the function  $\eta_h : [0, \infty) \to \mathbb{R}$  given by

$$\eta_{h}(t) = (p-1) \left\| \mathfrak{D}_{0^{+}}^{\alpha,\beta;\psi} \phi^{*} + t \mathfrak{D}_{0^{+}}^{\alpha,\beta;\psi} h \right\|_{p}^{p} \\ + (q-1) \int_{\Omega} \kappa(\xi) \left| \mathfrak{D}_{0^{+}}^{\alpha,\beta;\psi} \phi^{*} + t \mathfrak{D}_{0^{+}}^{\alpha,\beta;\psi} h \right|^{q} d\xi \\ + \varpi \int_{\Omega} a(\xi) |\phi^{*} + th|^{1-\varpi} d\xi - \tau(r-1) \|\phi^{*} + th\|_{r}^{r}.$$
(17)

Due to  $\phi^* \in \Xi_{\tau}^+ \subseteq \Xi_{\tau}$  (see (5)), we have

$$\varpi \int_{\Omega} a(\xi) |\phi^*|^{1-\varpi} \,\mathrm{d}\xi = \varpi \left\| \mathfrak{D}_{0^+}^{\alpha,\beta;\psi} \phi^* \right\|_p^p + \varpi \int_{\Omega} \kappa(\xi) |\phi^*|^q \,\mathrm{d}\xi - \tau \varpi \|\phi^*\|_r^r \qquad (18)$$

and

$$(p + \varpi - 1) \| \mathfrak{D}_{0^+}^{\alpha,\beta;\psi} \phi^* \|_p^p + (q + \varpi - 1) \int_{\Omega} \kappa(\xi) \| \mathfrak{D}_{0^+}^{\alpha,\beta;\psi} \phi^* \|^q \, \mathrm{d}\xi - \tau (r + \varpi - 1) \| \phi^* \| > 0.$$
(19)

Taking into account (17)–(19), this implies  $\eta_h(0) > 0$ . We can also use the continuity of  $\eta_h$  to find  $b_0 > 0$  such that

$$\eta_h(t) > 0$$
 for all  $t \in [0, b_0]$ .

By (1) we can find  $\nu(t) > 0$  for  $t \in [0, b_0]$  such that

$$\nu(t)(\phi^* + th) \in \Xi_{\tau}^+, \quad \nu(t) \to 1 \quad \text{as } t \to 0^+.$$
<sup>(20)</sup>

Therefore, we derive

$$\mathfrak{M}_{\tau}^{+} = \mathcal{E}_{\tau}^{\alpha,\beta;\psi}(\phi^{*}) \leqslant \mathcal{E}_{\tau}^{\alpha,\beta;\psi}\big(\nu(t)(\phi^{*}th)\big) \quad \text{for all } t \in [0,b_{0}].$$

Hence, we concluded that  $\mathfrak{M}^+_{\tau} \leq \mathcal{E}^{\alpha,\beta;\psi}_{\tau}(\phi^*) \leq \mathcal{E}^{\alpha,\beta;\psi}_{\tau}(\phi^* + th)$  for all  $t \in [0,b]$  with  $0 < b \leq b_0$ ; see (20).

**Proposition 7.** If hypotheses  $(Q_{\kappa})$  and  $(Q_a)$  hold and  $\tau \in (0, \hat{\tau}^*)$ , then  $\phi^*$  is a weak solution of problem  $(P_{\tau})$ .

*Proof.* Let  $\phi \in \mathcal{H}_p^{\alpha,\beta;\psi}(\Omega)$ , and let b > 0 be the constant given in Proposition 6. For  $0 \leq t \leq b$ , using Proposition 6, we get

$$0 \leqslant \mathcal{E}_{\tau}^{\alpha,\beta;\psi}(\phi^* + th) - \mathcal{E}_{\tau}^{\alpha,\beta;\psi}(\phi^*).$$

This implies that

$$\begin{split} &\frac{1}{1-\varpi}\int_{\Omega}a(\xi)\left(|\phi^*+th|^{1-\varpi}-|\phi^*|^{1-\varpi}\right)\mathrm{d}\xi\\ &\leqslant\frac{1}{p}\left(\left\|\mathfrak{D}_{0^+}^{\alpha,\beta;\psi}\phi^*+t\mathfrak{D}_{0^+}^{\alpha,\beta;\psi}h\right\|_p^p-\left\|\mathfrak{D}_{0^+}^{\alpha,\beta;\psi}\phi^*\right\|_p^p\right)\\ &\quad+\frac{1}{q}\left[\int_{\Omega}\kappa(\xi)\left(\left|\mathfrak{D}_{0^+}^{\alpha,\beta;\psi}\phi^*+t\mathfrak{D}_{0^+}^{\alpha,\beta;\psi}h\right|^q-\left|\mathfrak{D}_{0^+}^{\alpha,\beta;\psi}\phi^*\right|^q\right)\mathrm{d}\xi\right]\\ &\quad-\frac{\tau}{r}\left(\|\phi^*+th\|_r^r-\|\phi\|_r^r\right).\end{split}$$

If we divide the above inequality by t > 0 and let  $t = 0^+$ , we get

$$\begin{split} &\int_{\Omega} a(\xi) |\phi^*|^{-\varpi} h \, \mathrm{d}\xi \\ &\leqslant \int_{\Omega} \left| \mathfrak{D}_{0^+}^{\alpha,\beta;\psi} \phi^* \right|^{p-2} \mathfrak{D}_{0^+}^{\alpha,\beta;\psi} \phi^* \mathfrak{D}_{0^+}^{\alpha,\beta;\psi} h \, \mathrm{d}\xi \\ &+ \int_{\Omega} \left| \mathfrak{D}_{0^+}^{\alpha,\beta;\psi} \phi^* \right|^{q-2} \mathfrak{D}_{0^+}^{\alpha,\beta;\psi} \phi^* \mathfrak{D}_{0^+}^{\alpha,\beta;\psi} h \, \mathrm{d}\xi - \tau \int_{\Omega} (\phi^*)^{r-1} h \, \mathrm{d}\xi. \end{split}$$

The arbitrariness of  $h \in \mathcal{H}_p^{\alpha,\beta;\psi}(\Omega)$  shows that for all  $h \in \mathcal{H}_p^{\alpha,\beta;\psi}(\Omega)$ ,

$$\begin{split} &\int_{\Omega} a(\xi) |\phi^*|^{-\varpi} h \, \mathrm{d}\xi \\ &= \int_{\Omega} |\mathfrak{D}_{0^+}^{\alpha,\beta;\psi} \phi^*|^{p-2} \mathfrak{D}_{0^+}^{\alpha,\beta;\psi} \phi^* \mathfrak{D}_{0^+}^{\alpha,\beta;\psi} h \, \mathrm{d}\xi \\ &+ \int_{\Omega} |\mathfrak{D}_{0^+}^{\alpha,\beta;\psi} \phi^*|^{q-2} \, \mathfrak{D}_{0^+}^{\alpha,\beta;\psi} \phi^* \, \mathfrak{D}_{0^+}^{\alpha,\beta;\psi} h \, \mathrm{d}\xi - \tau \int_{\Omega} (\phi^*)^{r-1} h \, \mathrm{d}\xi. \end{split}$$

Therefore,  $\phi^*$  is a weak solution of  $(P_{\tau})$  for  $\tau \in (0, \hat{\tau}^*)$ .

Following Propositions 5 and 7, we have the following result, which shows the existence of a positive solution of  $(P_{\tau})$  for  $\tau \in (0, \hat{\tau}^*)$ .

**Proposition 8.** If hypotheses  $(Q_{\kappa})$  and  $(Q_{a})$  hold and  $\tau \in (0, \hat{\tau}^{*})$ , then problem  $(P_{\tau})$  admits a positive solution  $\phi^{*} \in \mathcal{H}_{p}^{\alpha,\beta;\psi}(\Omega)$  such that  $\mathcal{E}_{p}^{\alpha,\beta;\psi}(\phi^{*}) < 0$  and  $\phi^{*}(\xi) \ge 0$  for *a.a.*  $\xi \in \Omega, \phi^{*} \neq 0$ .

The next proposition gives the existence of the second positive solution to  $(P_{\tau})$  when  $\tau > 0$  is small. This solution belongs to  $\Xi_{\tau}^{-}$ .

**Proposition 9.** If hypotheses  $(\mathbf{Q}_{\kappa})$  and  $(\mathbf{Q}_{a})$  hold, then we can find  $\hat{\tau}_{0}^{*} > 0$  such that for all  $\tau \in (0, \hat{\tau}_{0}^{*}]$ , functional  $\mathcal{E}_{\tau}^{\alpha,\beta;\psi}$  is nonnegative on  $\Xi_{\tau}^{-}$ .

*Proof.* Let  $\phi \in \Xi_{\tau}^{-}$ , then one has

$$(p+\varpi-1) \left\| \mathfrak{D}_{0^+}^{\alpha,\beta;\psi} \phi \right\|_p^p + (q+\varpi-1) \int_{\Omega} \kappa(\xi) \left| \mathfrak{D}_{0^+}^{\alpha,\beta;\psi} \phi \right|^q \mathrm{d}\xi$$
$$< \tau(r+\varpi-1) \|\phi\|_r^r.$$

The continuity of the embedding  $\mathcal{H}_p^{\alpha,\beta;\psi}(\varOmega) \hookrightarrow \mathscr{L}^r(\varOmega)$  implies

$$(p+\varpi-1)C_8\|\phi\|_r^p < \tau(r+\varpi-1)\|\phi\|_r^r \quad \text{for some } C_8 > 0,$$

i.e.,

$$\left[\frac{(p+\varpi-1)C_8}{\tau(r+\varpi-1)}\right]^{\frac{1}{r-p}} \leqslant \|\phi\|_r.$$
(21)

Suppose that the conclusion of this proposition is not true. Then we can find  $\phi \in \Xi_{\tau}^{-}$  such that  $\mathcal{E}_{\tau}^{\alpha,\beta;\psi}(\phi) < 0$ . Hence,

$$\frac{1}{p} \left\| \mathfrak{D}_{0^+}^{\alpha,\beta;\psi} \phi \right\|_p^p + \frac{1}{q} \int_{\Omega} \kappa(\xi) \left| \mathfrak{D}_{0^+}^{\alpha,\beta;\psi} \phi \right|^q \mathrm{d}\xi - \frac{1}{1-\varpi} \int_{\Omega} a(\xi) |\phi|^{1-\varpi} \,\mathrm{d}\xi - \frac{\tau}{r} \|\phi\|_r^r < 0.$$
(22)

Keeping in mind  $\phi \in \Xi_{\tau}$ , namely,

$$\left\|\mathfrak{D}_{0^{+}}^{\alpha,\beta;\psi}\phi\right\|_{p}^{p} = \int_{\Omega} a(\xi)|\phi|^{1-\varpi}\,\mathrm{d}\xi + \tau \|\phi\|_{r}^{r} - \int_{\Omega} \kappa(\xi)\left|\mathfrak{D}_{0^{+}}^{\alpha,\beta;\psi}\phi\right|^{q}\,\mathrm{d}\xi,\qquad(23)$$

we use (22) and (23) to obtain

$$\begin{split} & \left[\frac{1}{p} - \frac{1}{1 - \varpi}\right] \int_{\Omega} a(\xi) |\phi|^{1 - \varpi} \, \mathrm{d}\xi + \left[\frac{1}{q} - \frac{1}{p}\right] \int_{\Omega} \kappa(\xi) \left|\mathfrak{D}_{0^+}^{\alpha,\beta;\psi}\phi\right|^q \mathrm{d}\xi \\ & + \tau \left(\frac{1}{p} - \frac{1}{r}\right) \|\phi\| < 0. \end{split}$$

This implies

$$\tau\left(\frac{1}{p}-\frac{1}{r}\right)\|\phi\|_r^r < \frac{p+\varpi-1}{p(1-\varpi)}C_9\|\phi\|_r^{1-\varpi} \quad \text{for some } C_9 > 0.$$

Recall that q , this leads to

$$\|\phi\|_r^{r+\varpi-1} \leqslant \frac{(p+\varpi-1)rc_9}{\tau(r-p)(1-\varpi)}.$$

Hence,

$$\|\phi\|_r \leqslant C_{10}(\frac{1}{\tau})^{\frac{1}{r+\varpi-1}}$$
 for some  $C_{10} > 0.$  (24)

Using inequalities (24) and (21), we have

$$C_{11}\left(\frac{1}{\tau}\right)^{\frac{1}{r-p}} \leqslant C_{10}\left(\frac{1}{\tau}\right)^{\frac{1}{r+\varpi-1}} \quad \text{with } C_{11} = \left(\frac{(p+\varpi-1)C_8}{r+\varpi-1}\right)^{\frac{1}{r-p}} > 0.$$

But the facts  $1 and <math>\varpi \in (0, 1)$  imply that

$$C_{12} \leqslant \tau^{\frac{1}{r-p} - \frac{1}{r+\varpi - 1}} \to 0$$

as  $\tau \to 0^+$  with  $C_{12} = C_{11}/C_{10}$ . This generates a contradiction. So, we can find  $\hat{\tau}_0^* \in (0, \hat{\tau}^*]$  such that  $\mathcal{E}_{\tau}^{\alpha,\beta;\psi}|_{\Xi_{\tau}^-} \ge 0$  for all  $\tau \in (0, \hat{\tau}_0^*]$ .

**Proposition 10.** If hypotheses  $(Q_{\kappa})$  and  $(Q_a)$  hold and  $\tau \in (0, \hat{\tau_0}^*]$ , then there exists  $v^* \in \Xi$  with  $v^* \ge 0$  such that

$$\mathfrak{M}_{\tau}^{-} = \inf_{\Xi_{\tau}^{-}} \mathcal{E}_{\tau}^{\alpha,\beta;\psi} = \mathcal{E}_{\tau}^{\alpha,\beta;\psi}(v^{*}).$$

*Proof.* The reasoning is similar to the proof of Proposition 5. If  $\{v_n\}_{n\geq 1} \subseteq \Xi_{\tau}^-$  is a minimizing sequence of  $\mathfrak{M}_{\tau}^- = \inf_{\Xi_{\tau}^-} \mathcal{E}_{\tau}^{\alpha,\beta;\psi}$ , then by Proposition 2 we have that  $\{v_n\}_{n\geq 1} \subseteq \mathcal{H}_p^{\alpha,\beta;\psi}(\Omega)$  is bounded. So, we can assume that for some  $v^* \in \mathcal{H}_p^{\alpha,\beta;\psi}(\Omega)$ ,

 $v_n \rightharpoonup v^*$  in  $\mathcal{H}_p^{\alpha,\beta;\psi}(\Omega)$  and  $v_n \rightarrow v^*$  in  $L^r(\Omega)$  as  $n \rightarrow \infty$ .

Using the same idea as in the proof of Proposition 5, we can find  $t_0 < t_2$  such that

$$\Theta_{v^*}'(t_2) < 0 \quad \text{and} \quad \Theta_{v^*}'(t_2) = \tau \|v^*\|_r^r$$
(25)

(see (13)), where  $t_0 > 0$  is the maximizer of  $\Theta_{v^*}$ . Furthermore, we argue as in the proof of Proposition 8 and use inequality (25) to obtain that  $v^* \in \Xi_{\tau}^-$ ,  $v^* \ge 0$  and  $\mathfrak{M}_{\tau}^- = \mathcal{E}_{\tau}^{\alpha,\beta;\psi}(v^*)$ .

**Proposition 11.** If hypotheses  $(Q_{\kappa})$  and  $(Q_a)$  hold and  $\tau \in (0, \hat{\tau}^*)$ , then  $v^*$  is a weak solution of problem  $(P_{\tau})$ .

Following the above results, we are now in a position to give the proof of Theorem 1.

*Proof of Theorem* 1. The desired results can be obtained directly by using Propositions 8 and 11.  $\Box$ 

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